

Lewis Gregory P
Form 3
August 10, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Lewis Gregory P

(Last) (First) (Middle)

115 TABOR ROAD

(Street)

MORRIS PLAINS, ^ NJ ^ 07950

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/03/2018

3. Issuer Name **and** Ticker or Trading Symbol

HONEYWELL INTERNATIONAL INC [HON]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed (Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ X ___ Officer ___ Other

(give title below) (specify below)

SVP & Chief Financial Officer

6. Individual or Joint/Group

Filing (Check Applicable Line)

☒ X ___ Form filed by One Reporting
Person

___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

4,733

D

^

Common Stock

2,254

I

Held in 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/24/2021	Common Stock	3,520	\$ 56.73	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/28/2022	Common Stock	6,034	\$ 59.53	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/26/2023	Common Stock	6,034	\$ 69.38	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/26/2024	Common Stock	20,114	\$ 93.44	D	Â
Employee Stock Options (right to buy)	Â <u>(3)</u>	02/25/2025	Common Stock	22,125	\$ 103.31	D	Â
Employee Stock Options (right to buy)	Â <u>(4)</u>	02/24/2026	Common Stock	24,137	\$ 103.07	D	Â
Employee Stock Options (right to buy)	Â <u>(5)</u>	02/27/2027	Common Stock	25,000	\$ 124.99	D	Â
Employee Stock Options (right to buy)	Â <u>(6)</u>	02/26/2028	Common Stock	3,420	\$ 155.39	D	Â
Restricted Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	3,420	\$ <u>(7)</u>	D	Â
Restricted Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	3,370	\$ <u>(7)</u>	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock	4,023	\$ <u>(7)</u>	D	Â
Restricted Stock Units	Â <u>(11)</u>	Â <u>(11)</u>	Common Stock	5,000	\$ <u>(7)</u>	D	Â
Restricted Stock Units	Â <u>(12)</u>	Â <u>(12)</u>	Common Stock	3,570	\$ <u>(7)</u>	D	Â
Restricted Stock Units	Â <u>(13)</u>	Â <u>(13)</u>	Common Stock	3,570	\$ <u>(7)</u>	D	Â
Supplemental Savings Plan Interests	Â <u>(14)</u>	Â <u>(14)</u>	Common Stock	1,096	\$ <u>(14)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Lewis Gregory P 115 TABOR ROAD MORRIS PLAINS,Â NJÂ 07950	Â	Â	Â SVP & Chief Financial Officer	Â	

Signatures

Jacqueline Katzel for Gregory P.
Lewis

08/10/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the 2006 Stock Incentive Plan and are fully vested.
- (2) The Employee Stock Options were granted under the 2011 Stock Incentive Plan and are fully vested.
- (3) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 16,594 options fully vested and 5,531 options vesting on February 26, 2019.
- (4) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 12,068 options fully vested and 6,035 options vesting on February 25, 2019 and 6,034 vesting on February 25, 2020.
- (5) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 6,250 options fully vested and 6,250 options vesting on each of February 28, 2019, February 28, 2020 and February 28, 2021.
- (6) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 6,250 options vesting on each of February 27, 2019, February 27, 2020, February 27, 2021 and February 27, 2022.
- (7) Instrument converts to common stock on a one-for-one basis.
- (8) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on April 22, 2020.
- (9) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with 1,659 units vesting on July 31, 2020 and 1,711 units vesting on July 31, 2022.
- (10) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (11) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,650 units vesting on October 3, 2019, 1,650 units vesting on October 3, 2021 and 1,700 units vesting on October 3, 2023.
- (12) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.
- (13) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 27, 2021.
- (14) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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