

Abernathey Andrew J.
Form 4
December 04, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Abernathey Andrew J.

2. Issuer Name and Ticker or Trading Symbol
NATIONAL SECURITY GROUP INC [NSEC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
819 30TH AVENUE
SOUTH, SUITE 206A

3. Date of Earliest Transaction (Month/Day/Year)
10/23/2017

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

(Street)
MOORHEAD, MN 56560

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | | (A) or (D) | Price | | | |
| Common Stock | | | | | 289,591 | I | By Meridian Investments I, LLC ⁽¹⁾ | |
| Common Stock | 10/23/2017 | | P | 20 | A \$ 12.9 ⁽²⁾ | 20 | I | By Capital Value Fund I, LLC ⁽³⁾ |
| Common Stock | 10/24/2017 | | S | 20 | D \$ 12.78 | 0 | I | By Capital Value Fund I, LLC ⁽³⁾ |
| Common Stock | 10/23/2017 | | P | 6 | A \$ 12.9 | 6 | I | By Capital |

Edgar Filing: Abernathey Andrew J. - Form 4

| | | | | | | | | | | |
|--------------|------------|--|---|---|-----|----|-------|---|------------------------|-----------------------------------|
| Stock | | | | | (4) | | | | Value Fund II, LLC (5) | |
| Common Stock | 10/24/2017 | | S | 6 | D | \$ | 12.78 | 0 | I | By Capital Value Fund II, LLC (5) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Abernathey Andrew J. 819 30TH AVENUE SOUTH SUITE 206A MOORHEAD, MN 56560 | | X | | |
| Meridian Investments I, LLC C/O ANDREW J. ABERNATHEY 819 30TH AVENUE SOUTH, SUITE 206A MOORHEAD, MN 56560 | | X | | |

Signatures

/s/ Andrew J. Abernathey

12/04/2017

**Signature of Reporting Person

Date

/s/ Andrew J. Abernathey as President of Meridian Investments I,
LLC

12/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Andrew Abernathey is the President and sole director of Meridian Investments I, LLC and holds sole voting and dispositive power over the securities held by Meridian Investments I, LLC.

The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$12.85 to \$12.95, inclusive. The reporting person undertakes to provide National Security Group, Inc., any security holder of National Security Group, Inc., or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (2) Andrew Abernathey is the President and a director of Capital Value Fund I, LLC.

The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$12.85 to \$12.95, inclusive. The reporting person undertakes to provide National Security Group, Inc., any security holder of National Security Group, Inc., or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (3) Andrew Abernathey is the President and a director of Capital Value Fund II, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.