Higgins David A. Form 3 July 20, 2017

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FINISH LINE INC /IN/ [FINL] Higgins David A. (Month/Day/Year) 07/13/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3308 N. MITTHOEFFER ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person INDIANAPOLIS, ÂINÂ 46235 (give title below) (specify below) Form filed by More than One VP, Corporate Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class A Common Stock 8,880 By Employee Stock Purchase I Class A Common Stock 2,386 Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	06/12/2022	Class A Common Stock	643	\$ 19.72	D	Â
Stock Option (Right to Buy)	(2)	04/01/2023	Class A Common Stock	1,404	\$ 19.4	D	Â
Stock Option (Right to Buy)	(3)	11/12/2023	Class A Common Stock	1,388	\$ 24.83	D	Â
Stock Option (Right to Buy)	(4)	04/01/2024	Class A Common Stock	2,318	\$ 27.255	D	Â
Stock Option (Right to Buy)	(5)	03/30/2025	Class A Common Stock	3,104	\$ 24.44	D	Â
Stock Option (Right to Buy)	(6)	03/28/2026	Class A Common Stock	6,661	\$ 20.475	D	Â
Performance-Based Restricted Stock	(7)	03/27/2020	Class A Common Stock	1,079	\$ <u>(7)</u>	D	Â
Dividend Equivalent Rights	(8)	(8)	Class A Common Stock	8	\$ <u>(8)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
noporomg o maor rumo / rum oss	Director	10% Owner	Officer	Other	
Higgins David A. 3308 N. MITTHOEFFER ROAD INDIANAPOLIS, IN 46235	Â	Â	VP, Corporate Controller	Â	

# **Signatures**

/s/ Christopher C. Eck, as attorney-in-fact for David A. Higgins 07/20/2017

\*\*Signature of Reporting Person

Reporting Owners 2

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vested over 4 years on the anniversary of the 6/12/2012 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 6/12/2016.
- (2) The options vested over 4 years on the anniversary of the 4/1/2013 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 4/1/2017.
- (3) The options vest over 4 years on the anniversary of the 11/12/2013 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 11/12/2017.
- (4) The options vest over 4 years on the anniversary of the 4/1/2014 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 4/1/2018.
- (5) The options vest over 4 years on the anniversary of the 3/30/2015 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 3/30/2019.
- The options vest over 4 years on the anniversary of the 3/28/2016 date of grant on a schedule of 10%, 20%, 30% and 40% on the respective succeeding anniversaries, with the total option grant vesting fully on 3/28/2020.
  - Each share of performance-based restricted stock represents a contingent right to receive one share of Class A Common Stock. The shares of performance-based restricted stock vest upon achieving certain performance goals relating to (i) the compound annual growth rate of
- (7) The Finish Line, Inc.'s earnings per share, and (ii) consolidated enterprise sales growth, measured over a performance period including fiscal years 2018, 2019 and 2020. Dividend equivalent rights accrue with respect to these shares of performance-based restricted stock when and as dividends are paid on Class A Common Stock.
- The reported dividend equivalent rights accrued on the reporting person's performance-based restricted stock and will become vested proportionately with the performance-based restricted stock to which they relate. Each dividend equivalent right is the economic equivalent of one share of Class A Common Stock.

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#### **Remarks:**

#### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.