Sakamoto Kazuhiko Form 4 May 10, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name **and** Ticker or Trading Sakamoto Kazuhiko Symbol 5. Relationship of Reporting Person(s) to Issuer

AUTOLIV INC [ALV]

(Check all applicable)

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year) \_\_\_\_\_ 10% Owner 05/08/2018 \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify

C/O AUTOLIV, INC., KLARABERGSVIADUKTEN 70, SECTION B7

(State)

(First)

NB/

(Zip)

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

STOCKHOLM, V7 SE-111 64

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4)

(A) Following (Instr. 4)
Reported
Transaction(s)
Or (Instr. 2 and 4)

Code V Amount (D) Price (Instr. 3 and 4)

Common Stock 05/08/2018  $M_{\underline{}}^{(1)}$  1,178 A \$ 0 4,722 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Sakamoto Kazuhiko - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(2)	05/08/2018		M(1)		1,178	05/08/2018	05/08/2018	Common Stock	1,178
Restricted Stock Unit	<u>(2)</u>	05/08/2018		A(3)	861		<u>(4)</u>	<u>(4)</u>	Common Stock	861

### **Reporting Owners**

STOCKHOLM, V7 SE-111 64

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Sakamoto Kazuhiko						
C/O AUTOLIV, INC.	v					
KLARABERGSVIADUKTEN 70, SECTION B7	X					

#### **Signatures**

/s/ Zachariah Miller, as attorney-in-fact for Kazuhiko 05/10/2018 Sakamoto

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the vesting of restricted stock units, including restricted stock units acquired through dividend equivalents, granted on May 9, 2017 as payment of one-half of the 2017-2018 annual retainer for director service.
- (2) Each restricted stock unit represents a contingent right to receive one share of ALV common stock.
- Reflects a grant of restricted stock units as payment of one-half of the 2018-2019 annual retainer for director service.
- The restricted stock units vest and convert to shares in one installment on the earlier of (a) the date of Autoliv's 2019 annual stockholder meeting, or (b) the one-year anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2