Edgar Filing: HAWAIIAN HOLDINGS INC - Form 3

HAWAIIAN HOLDINGS INC

Form 3

November 21, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

DAVIES H NORMAN JR

(Last)

(First) (Middle) Statement

(Month/Day/Year)

11/08/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HAWAIIAN HOLDINGS INC [HA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O HAWAIIAN HOLDINGS, INC., 3375 KOAPAKA STREET, SUITE G-350

(Street)

Director

_X__ Officer

(Check all applicable)

10% Owner

Other (give title below) (specify below) Exec VP Operatns Hawaiian Air

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

HONOLULU, Â HIÂ 96819

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Â

Form: Direct (D) or Indirect (I)

(Instr. 5)

D

Common Stock, par value \$0.01 per share 0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Price of Derivative Security:

Edgar Filing: HAWAIIAN HOLDINGS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options	(1)	02/27/2008	Common Stock	30,000	\$ 3.5	D	Â
Options	(1)	06/30/2010	Common Stock	20,000	\$ 2.63	D	Â
Options	06/10/2008	06/10/2015	Common Stock	50,798	\$ 5	D	Â
Options	07/25/2008	07/25/2015	Common Stock	51,300	\$ 4.62	D	Â
Options	11/08/2009	11/08/2016	Common Stock	63,902	\$ 4.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director 10% Owner	Officer	Other		
DAVIES H NORMAN JR					
C/O HAWAHAN HOLDINGS INC					

C/O HAWAIIAN HOLDINGS, INC. 3375 KOAPAKA STREET, SUITE G-350 HONOLULU, HIÂ 96819

ÂÂ

Exec VP Operatns Hawaiian Air

ir Â

Signatures

/s/ H. Norman Davies, Jr. 11/16/2006

**Signature of Reporting

Explanation of Responses:

Date

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2