

ARI NETWORK SERVICES INC /WI

Form 3

November 03, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Ostermann Robert

(Last)

(First)

(Middle)

10850 WEST PARK
PLACE,Â SUITE 1200

(Street)

MILWAUKEE,Â WIÂ 53224

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/27/2016

3. Issuer Name **and** Ticker or Trading Symbol

ARI NETWORK SERVICES INC /WI [ARIS]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Technology Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

136,067

D ⁽¹⁾ ÂReminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	06/30/2012	06/30/2018	Common Stock	5,000	\$ 1.5	D	Â
Employee Stock Options (Right to Buy)	02/26/2014	02/26/2020	Common Stock	10,000	\$ 0.85	D	Â
Employee Stock Options (Right to Buy)	06/30/2015	06/30/2021	Common Stock	500	\$ 0.92	D	Â
Employee Stock Options (Right to Buy)	11/02/2015	11/02/2021	Common Stock	15,000	\$ 1.25	D	Â
Employee Stock Options (Right to Buy)	04/03/2016	04/03/2022	Common Stock	15,000	\$ 1.51	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ostermann Robert 10850 WEST PARK PLACE SUITE 1200 MILWAUKEE, WI 53224	Â	Â	Â Chief Technology Officer	Â

Signatures

Mary L. Pierson (pursuant to Power of Attorney filed
herewith)

11/02/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 124,323 shares of unvested restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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