**II-VI INC** Form 4 September 10, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16.

**SECURITIES** Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SOGNEFEST PETER W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

II-VI INC [IIVI]

X\_ Director 10% Owner Officer (give title Other (specify below)

09/10/2014

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

8108 ROCK PORT CIRCLE (Street)

Filed(Month/Day/Year)

LAS VEGAS, NV 89128

(State)

(City)

Stock

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | any Code (Instr. 3, 4 and 5) |                    |        | d of (D)         | 5. Amount of Securities Beneficially | Form: Direct (D) or  | Beneficial                 |                         |
|--------------------------------------|---|------------------------------|--------------------|--------|------------------|--------------------------------------|--|----------------------------|-------------------------|
|                                      |   | (Month/Day/Year)             | (Instr. 8)  Code V | Amount | (A)<br>or<br>(D) | Price                                | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 09/10/2014                              |                              | S                  | 650    | D                | \$<br>12.95                          | 21,757   | D                          |                         |
| Common                               |   |                              |                    |        |                  |                                      | 320  | I                          | By Son (1)              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: II-VI INC - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc |                 | 7. Titl  |          | 8. Price of | 9. Nu    |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-----------------|----------|----------|-------------|----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate             | Amou     | ınt of   | Derivative  | Deriv    |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)           | Under    | lying    | Security    | Secui    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |                 | Securi   | ities    | (Instr. 5)  | Bene     |
|             | Derivative  |                     | •                  |            | Securities | 3             |                 | (Instr.  | 3 and 4) |             | Owne     |
|             | Security    |                     |                    |            | Acquired   |               |                 |          |          |             | Follo    |
|             |             |                     |                    |            | (A) or     |               |                 |          |          |             | Repo     |
|             |             |                     |                    |            | Disposed   |               |                 |          |          |             | Trans    |
|             |             |                     |                    |            | of (D)     |               |                 |          |          |             | (Instr   |
|             |             |                     |                    |            | (Instr. 3, |               |                 |          |          |             | (2.11541 |
|             |             |                     |                    |            | 4, and 5)  |               |                 |          |          |             |          |
|             |             |                     |                    |            | i, and 3)  |               |                 |          |          |             |          |
|             |             |                     |                    |            |            |               |                 |          | Amount   |             |          |
|             |             |                     |                    |            |            | Data          | Evaluation      |          | or       |             |          |
|             |             |                     |                    |            |            |               | Expiration Date | Title Nu | Number   |             |          |
|             |             |                     |                    |            |            |               |                 |          | of       |             |          |
|             |             |                     |                    | Code V     | (A) (D)    |               |                 |          | Shares   |             |          |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|----------------------------------|---------------|-----------|---------|-------|--|--|--|
| reporting 6 wher runne / runness | Director      | 10% Owner | Officer | Other |  |  |  |
| SOGNEFEST PETER W                |               |           |         |       |  |  |  |
| 8108 ROCK PORT CIRCLE            | X             |           |         |       |  |  |  |
| LAS VEGAS, NV 89128              |               |           |         |       |  |  |  |

## **Signatures**

/s/ Michelle L. Freehling, 09/10/2014 Attorney-in-Fact

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The reporting person disclaims beneficial ownership of shares owned by his son, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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