KRAMER FRANCIS J

Form 4

January 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and A KRAMER F | Symbol | r Name and | Ticker or Trading | 5. Relationship of Reporting Person(s) to Issuer | | | | |
|--------------------------------------|---|---|--|--|---|--|---|--|
| (Last) | (First) (I | Middle) 3. Date o | f Earliest Tr | ansaction | (Check all applicable) | | | |
| 10491 ALLA | ` | (Month/Day/Year) 12/31/2012 | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) President and CEO | | | |
| | 4. If Ame | 4. If Amendment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | |
| GIBSONIA, | Filed(Mo | nth/Day/Year |) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) Tabl | le I - Non-D | Perivative Securities Acq | uired, Disposed o | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | e 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |

| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--------------------------------------|---|--|------|---|----------------|------------------|--|--|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 12/31/2012 | | G | V | 125,401 (1) | D | \$0 | 358,754 | D | |
| Common Stock | 12/31/2012 | | G | V | 125,401 (1) | A | \$ 0 | 125,401 | I | By Spousal Limited Access Trust |
| Common Stock | 12/31/2012 | | G | V | 160,000 (2) | D | \$0 | 0 | I | By Spouse |
| Common Stock | 12/31/2012 | | G | V | 160,000 (2) | A | \$0 | 285,401 | I | By Spousal |

Limited Access Trust

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title Amou Under Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---------------------------------------|---|---------------------|--------------------|--|--|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------|-------|--|--|--|
| .r. g | Director | 10% Owner | Officer | Other | | | |
| KRAMER FRANCIS J 10491 ALLANTE COURT GIBSONIA, PA 15044 | X | | President and CEO | | | | |

Signatures

/s/ Michelle L. Freehling,
Attorney-in-Fact
01/03/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 31, 2012, the reporting person contributed 125,401 shares of II-VI Incorporated ("II-VI") common stock to a Spousal Limited Access Trust for the benefit of his wife and children.
- On December 31, 2012, the reporting person's spouse contributed 160,000 shares of II-VI common stock to the Spousal Limited Access Trust.

Reporting Owners 2

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(3) The reporting person disclaims beneficial ownership of the II-VI shares previously owned by his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.