## Edgar Filing: Fugal Jay Grant - Form 4

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| Form 4   |   |           |  |             |   |   |                                       |  |  |          |  |
|--|---|-----------|--|-------------|---|---|---------------------------------------|--|--|----------|--|
| May 01, 201  | _   |           |  |             |   |   |                                       |  | OMB AI   | PPROVAL  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |   |           |  |             |   |   | OMB<br>Number:                        | 3235-0287  |  |          |  |
| Check thi<br>if no long<br>subject to<br>Section 1                             | CHANGES IN BENEFICIAL OWN<br>SECURITIES                 |           |  |             |   | NERSHIP OF  | Expires:<br>Estimated a<br>burden hou | rs per   |  |          |  |
| Form 4 or<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b).    | Filed put<br>ns Section 17(                             | a) of the | Public Ut  |             | ing Com   | pany  | Act of                                | e Act of 1934,<br>f 1935 or Section<br>40  | response<br>n  | 0.5      |  |
| (Print or Type F   | Responses)  |           |  |             |   |   |                                       |  |  |          |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Fugal Jay Grant            |   |           | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>PROFIRE ENERGY INC [PFIE] |             |   |   |                                       | 5. Relationship of Reporting Person(s) to Issuer   |  |          |  |
| (Last)   | (First) (   | Middle)   | 3. Date of Earliest Transaction (Chec  |             |   |   |                                       |  | x all applicable)  |          |  |
| 321 SOUTH 1250 WEST SUITE 1  |   |           | (Month/Day/Year)<br>04/30/2019   |             |   |   |                                       | Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>VP of Operations |  |          |  |
|  | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |           |  |             |   | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |                                       |  |  |          |  |
| LINDON, U  | JT 84042  |           |  |             |   |   |                                       | Form filed by M<br>Person  | Iore than One Re   | eporting |  |
| (City)   | (State)   | (Zip)     | Table  | e I - Non-D | erivative S   | ecurit  | ties Acq                              | uired, Disposed of   | , or Beneficial  | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)   | any   |           |  |             | 4. Securities Acquired<br>ion(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) |   |                                       | Securities<br>Beneficially<br>Owned  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |  |
|  |   |           |  | Code V      | Amount  | (A)<br>or<br>(D)  | Price                                 | Reported<br>Transaction(s)<br>(Instr. 3 and 4)   |  |          |  |
| Common<br>Stock  | 04/30/2019  |           |  | А           | 11,772<br>(1)   | А   | \$0                                   | 26,994   | D  |          |  |
| Common<br>Stock  | 05/01/2019  |           |  | F           | 317 <u>(2)</u>  | D   | \$0                                   | 26,677   | D  |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Amou<br>Unde<br>Secur | rlying                                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-----------------------|--|---|---|
|   |   |   |   | Code V                               | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                     | Relationships |            |           |          |  |  |  |  |
|--|---------------|------------|-----------|----------|--|--|--|--|
| 1 9  | Director      | 10% Owner  | Officer   | Other    |  |  |  |  |
| Fugal Jay Grant<br>321 SOUTH 1250 WEST SUITE 1<br>LINDON, UT 84042 |               |            | VP of Ope | erations |  |  |  |  |
| Signatures   |               |            |           |          |  |  |  |  |
| Todd Fugal as attorney-in-fact for Ja<br>Fugal                     | ıy G.         | 05/01/2019 |           |          |  |  |  |  |
| **Signature of Reporting Person                                    |               | Da         | ate       |          |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On April 30, 2019, the reporting person entered into a Restricted Stock Unit Award Agreement which granted 11,772 restricted stock (1) units, 3,924 of which vest on December 31, 2019, 3,924 of which vest on December 31, 2020, and 3,924 of which vest on December 31, 2021.

(2) Shares vested pursuant to a previously reported restricted stock award and these shares were withheld for payment of the tax liability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.