## Edgar Filing: KAPLAN MARTIN A - Form 4

KAPLAN M	ARTIN A											
Form 4												
August 17, 20	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							ONID	3235-0287				
Check this	boy		Was	hington,	D.C. 205	549			Number:			
if no long	or								Expires:	January 31, 2005		
subject to	STATE	MENT O	F CHAN	GES IN BENEFICIAL OWN				NERSHIP OF	Estimated a			
Section 16		SI				SECURITIES				burden hours per		
Form 4 or Form 5			~ • • •		~	-			response (			
obligation	· ·							ge Act of 1934,				
may conti				•	•	- ·		f 1935 or Sectio	n			
See Instru	ction	30(h)	of the Inv	estment (	Company	y Act	of 19	40				
1(b).												
(Print or Type R	esponses)											
(i fine of Type it	esponses)											
1. Name and Address of Reporting Person <sup>*</sup> _ 2. Issuer Name <b>and</b> Ticker or Trading 5. Relationship of							Reporting Person(s) to					
KAPLAN MARTIN A Symbol				Traine una Tierer of Training				Issuer				
				entum Holdings Inc. [LITE]								
(Last)	(First)	(Middle)			0		-	(Cheo	ck all applicable	e)		
			f Earliest Transaction Day/Year)				X Director 10% Owner					
C/O LUMENTUM, 400 NORTH 08/15/20				•				Officer (give titleOther (specify				
MCCARTH			00/15/20	11/				below)	below)			
(Street) 4. If Ame			4 If Δmer	. If Amendment, Date Original ïled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check				
								Applicable Line)				
Thed(Mon				un Day (Tear)				_X_Form filed by One Reporting Person				
MILPITAS,	CA 95035							•	More than One Re	eporting		
								Person				
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of	2. Transaction Da	te 2A. Dee	med	3. 4. Securities				5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year	r) Executio	on Date, if TransactionAcquired (A) or					Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month/	Day/Voor)	CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			•	D) or ndirect (I)	Beneficial Ownership			
		(Month/Day/Year)			(11150.5,	4 anu	5)	Following	(Instr. 4)	(Instr. 4)		
						( • )		Reported	()	(		
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common	08/15/2017			М	3,282	А	\$0	41,581	D			
Stock												

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number too f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ivative Expiration Date (Month/Day/Year) red sed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ O	08/15/2017		М		3,282	08/15/2017	<u>(1)</u>	Common Stock	3,282	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
KAPLAN MARTIN A C/O LUMENTUM 400 NORTH MCCARTHY BLVD MILPITAS, CA 95035	Х							
Signatures								
/s/ Judy G Hamel as Attorney-in-Fact	08/	17/2017						

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.