Edgar Filing: Laredo Petroleum Holdings, Inc. - Form 4

Laredo Petroleum Holdings, Inc. Form 4 August 19, 2013

August 19, 20	013											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL				
	Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long subject to	statement of changes in beneficial ownership of							Expires: Estimated a	January 31, 2005 average			
Section 10 Form 4 or Form 5 obligation may conti	Filed purs	SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Section 17(a) of the Public Utility Holding Company Act of 1										
<i>See</i> Instru 1(b).		30(h)	of the Inv	vestment	Compan	y Act	t of 194	40				
(Print or Type R	lesponses)											
1. Name and A KAGAN PE	Symbol	2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
	Laredo I [LPI]	Petroleum	Holding	gs, In	с.	(Check all applicable)						
				Earliest Tra ay/Year)	ansaction			X Director 10% Owner Officer (give title Other (specify below) below)				
	URG PINCUS LI N AVENUE	LC, 450	08/15/20)13								
				ndment, Dat th/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
NEW YORK	K, NY 10017							Person	Iore than One Re	eporting		
(City)	(State) (Zip)	Table	e I - Non-Do	erivative	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned		
(Instr. 3) any			med on Date, if Day/Year)	3. Transactic Code (Instr. 8)		ispose	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	08/15/2013			А	643 <u>(1)</u>	А	\$ 23.3	38,648	D			
Common Stock								83,993,854	I (2) (3)	See footnote (4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact: Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Tran (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Director **Relationships**

10% Owner Officer Other



C/O WARBURG PINCUS LLC **450 LEXINGTON AVENUE** NEW YORK, NY 10017

Signatures

/s/ Kenneth E. Dornblaser, as attorney-in-fact for Peter R. 08/19/2013 Kagan

X

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These restricted shares are granted under the Issuer's 2011 Omnibus Equity Incentive Plan in lieu of cash payments for director fees at the (1)election of the director.

The stockholders of Laredo Petroleum Holdings, Inc., a Delaware corporation (the "Issuer") are Warburg Pincus Private Equity IX, L.P., a Delaware limited partnership ("WP IX", and together with an affiliated partnership, the "WP IX Funds"), and Warburg Pincus Private Equity X O&G, L.P., a Delaware limited partnership (together with an affiliated partnership, the "WP X Funds"). The total number of

- (2) shares of Common Stock of the Issuer owned by the WP IX Funds is 66,977,479 shares of Common Stock and the total number of shares of Common Stock of the Issuer owned by the WP X Funds is 17,016,375 shares of Common Stock. Together, the WP IX Funds and the WP X Funds own 83,993,854 shares of Common Stock of the Issuer.
- (3) Warburg Pincus IX LLC, a New York limited liability company ("WP IX GP"), is the general partner of WP IX. Warburg Pincus X, L.P., a Delaware limited partnership ("WP X GP"), is the general partner of the WP X Funds. Warburg Pincus X LLC, a Delaware limited liability company ("WP X LLC"), is the general partner of WP X GP. Warburg Pincus Partners LLC, a New York limited liability company ("WP Partners"), is the sole member of WP IX GP and WP X LLC. Warburg Pincus & Co., a New York general partnership ("WP"), is the managing member of WP Partners. Warburg Pincus LLC, a New York limited liability company ("WP LLC"), manages the WP IX Funds and the WP X Funds. Charles R. Kaye and Joseph P. Landy are the Managing General Partners of WP and the Co-Presidents and Managing Members of WP LLC and may be deemed to control the WP IX Funds, WP IX GP, the WP X Funds, WP X

Date

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GP, WP X LLC, WP Partners, WP and WP LLC.

Mr. Kagan, a director of the Issuer, is a Partner of WP and a Managing Director and Member of WP LLC. Because of Mr. Kagan's affiliation with the Warburg Pincus entities, he may be deemed to have an indirect pecuniary interest (within the meaning of Rule 16a-1 under the Securities Exchange Act of 1934, as amended (the "Exchange Act")) in an indeterminate portion of the shares of Common

(4) Stock of the Issuer owned by the WP IX Funds and the WP X Funds. Mr. Kagan disclaims beneficial ownership of all shares of Common Stock of the Issuer in which he does not have a direct pecuniary interest. This Form 4 shall not be deemed an admission that any reporting person or any other person referred to herein is a beneficial owner of any securities of the Issuer for purposes of Section 16 of the Exchange Act, or for any other purpose or that any reporting person or other person has an obligation to file this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.