Edgar Filing: Northfield Bancorp, Inc. - Form 4/A

Northfield B Form 4/A	Bancorp	, Inc.									
June 13, 201	ЛЛ	UNITED	STATES	SECUI	RITIES A	AND EXC	HAN	IGE CO	OMMISSION	OMB AI	PPROVAL
Chaols th		UNITED	0111110			, D.C. 205				Number:	3235-0287
Check th if no lon subject to Section 7 Form 4 of Form 5 obligatio may con <i>See</i> Instr	ger o 16. or ^{ons} S	Filed pu	rsuant to S (a) of the l	Section 1 Public U	SECUE 6(a) of th tility Hol	RITIES	es Ex pany	change Act of	ERSHIP OF Act of 1934, 1935 or Section	Expires: Estimated a burden hou response	rs per
1(b).											
(Print or Type	Response	es)									
1. Name and A Alexander J			g Person <u>*</u>	Symbol		d Ticker or T orp, Inc. [N	-	,]	5. Relationship of I Issuer	Reporting Pers	son(s) to
(Last)	(Fi	rst)	(Middle)		f Earliest T	· ·		•]	(Check	all applicable	e)
581 MAIN	STREE	ET, SUITI	E 810	(Month/I 06/11/2	Day/Year) 2014				_X_ Director _X_ Officer (give pelow) Chair		o Owner er (specify
WOODBRI	,	reet) NJ 07095			nth/Day/Yea	ate Original r)			 Individual or Joi Applicable Line) X_ Form filed by O Form filed by Ma 	ne Reporting Pe	erson
(City)	(Sta	ate)	(Zip)	Tab	le I - Non-l	Derivative S	ecurit		ired, Disposed of,	or Beneficial	llv Owned
1.Title of Security (Instr. 3)		asaction Dat n/Day/Year)	any	ned 1 Date, if	3.		es Acqu d of (E	uired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial
Common Stock	06/11	/2014			A	155,000 (1)	A	\$ 13.13	389,173	D	
Common Stock									56,915	Ι	By Spouse's IRA
Commonn Stock									40,035	Ι	By IRA
Common Stock									1,858	I	By Spouse's IRA 2
									16 764	T	

		Ũ	Ű		1.7					
Common Stock									By Spouse's IRA 4	
Common Stock							6,453	I	By Spouse's IRA 3	
Common Stock							8,787	I	By Spouse as Custodian for Child 1	
Common Stock							8,787	Ι	By Spouse as Custodian for Child 2	
Common Stock							21,528.1231 (2)	Ι	By ESOP (3)	
Reminder: R	leport on a sep	parate line for each cla	ss of securities benet	Persor inform require	ns who resp nation conta ed to respon lys a current	pond ained ond u	irectly. d to the collect d in this form a unless the form valid OMB cont	are not n	EC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants,							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A Disposed of (Instr. 3, 4, a 5)	A) or f (D)		te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock	\$ 12 12	06/11/2014		٨	400,000		06/11/2015	06/11/202/	Common	400.00

Options	\$ 13.13	06/11/2014	А	<u>(4)</u>	06/11/2015	06/11/2024	Stock	400,00
Stock Options	\$ 7.09				01/30/2010	01/30/2019	Common Stock	590,97

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Alexander John Wade 581 MAIN STREET, SUITE 810	Х		Chairman & CEO			

WOODBRIDGE, NJ 07095

Signatures

/s/ Steven M. Klein, pursuant to Power of Attorney

06/13/2014

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock that vest at a rate of 20% per year commencing on June 11, 2015.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Amends previously filed Form 4 (June 13, 2014) which inadvertently omitted ESOP holdings.
- (4) Represents stock options that vest at a rate of 20% per year commencing on June 11, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.