SPARTON CORP

Form 4

November 14, 2011

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **SWARTWOUT JAMES R** Issuer Symbol SPARTON CORP [SPA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 425 MARTINGALE ROAD, SUITE 11/10/2011 below) 2050 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **SCHAUMBURG, IL 60173-2213** (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| . •                 |                     | Table              | : I - MOII-De              | erranve s  | securi    | ues Ac   | quii eu, Disposeu | oi, or belieficia | ny Owneu     |
|---------------------|---------------------|--------------------|----------------------------|------------|-----------|----------|-------------------|-------------------|--------------|
| 1.Title of          | 2. Transaction Date | 2A. Deemed         | 3.                         | 4. Securit | ties      |          | 5. Amount of      | 6. Ownership      | 7. Nature of |
| Security            | (Month/Day/Year)    | Execution Date, if | TransactionAcquired (A) or |            |           | r        | Securities        | Form: Direct      | Indirect     |
| (Instr. 3)          |                     | any                | Code Disposed of (D)       |            |           | )        | Beneficially      | (D) or            | Beneficial   |
|                     |                     | (Month/Day/Year)   | (Instr. 8)                 | (Instr. 3, | 4 and     | 5)       | Owned             | Indirect (I)      | Ownership    |
|                     |                     |                    |                            |            |           |          | Following         | (Instr. 4)        | (Instr. 4)   |
|                     |                     |                    |                            | (4)        |           | Reported |                   |                   |              |
|                     |                     |                    |                            |            | (A)       |          | Transaction(s)    |                   |              |
|                     |                     |                    | Code V                     | Amount     | or<br>(D) | Price    | (Instr. 3 and 4)  |                   |              |
| common<br>stock (1) | 11/10/2011          |                    | A                          | 3,480      | A         | \$0      | 23,922            | D                 |              |
|                     |                     |                    |                            |            |           |          |                   |                   |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SPARTON CORP - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and        | 7. Title a             | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|--------------------|------------------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D | ate                | Amount                 | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day   | Year)              | Underlyi               | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e            |                    | Securitie              | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3            |                    | (Instr. 3              | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |                    |                        |        |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |                    |                        |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |                    |                        |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |                    |                        |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |                    |                        |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |                    |                        |        |             |        |
|             |             |                     |                    |            |            |              |                    | ٨                      | mount  |             |        |
|             |             |                     |                    |            |            |              |                    |                        |        |             |        |
|             |             |                     |                    |            |            |              | Expiration<br>Date | or<br>Title Numb<br>of |        |             |        |
|             |             |                     |                    |            |            |              |                    |                        |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |                    |                        | hares  |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 0   | Director      | 10% Owner | Officer | Other |  |  |  |
| SWARTWOUT JAMES R<br>425 MARTINGALE ROAD<br>SUITE 2050<br>SCHAUMBURG, IL 60173-2213 | X             |           |         |       |  |  |  |

# **Signatures**

Carrie Leahy, Attorney-in-Fact by Power of Attorney

11/14/2011

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The common stock was granted as the reporting person's annual stock grant in connection with the reporting person's services as a non-employee director and, for the applicable portion of the period after his appointment, as Chairman of the board of directors of the issuer. The common stock also includes a grant for an additional period as a result of a change in the accrual period for the award. The amount of the grant was determined based on the market value of the common stock as of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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