Dishman William Form 4 June 04, 2018

### FORM 4

## **OMB APPROVAL**

5. Relationship of Reporting Person(s) to

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue. **SECURITIES** 

burden hours per response... 0.5

See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

| Dishman V                            | William                                 | Symb   | Symbol                           |       |   |  |   | Issuer   |  |  |  |
|--------------------------------------|---|--|----------------------------------|-------|---|--|---|--|--|--|--|
|                                      |   |  | Stock Yards Bancorp, Inc. [SYBT] |       |   |  |   | (Check all applicable)   |  |  |  |
| (Last)                               | (First)                                 |  |                                  |       |   |  |   |  |  |  |  |
| 13130 RE                             |   | (Month/Day/Year)<br>06/01/2018   |                                  |       |   |  | Director 10% Owner _X Officer (give title Other (specify below)  Executive Vice President |  |  |  |  |
|                                      | (Street)                                | 4. If A  | 4. If Amendment, Date Original   |       |   |  |   | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| GOSHEN                               | , KY 40026                              | Filed(   | Filed(Month/Day/Year)            |       |   |  |   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| reison (Cr. )                        |   |  |                                  |       |   |  |   |  |  |  |  |
| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                  |       |   |  |   |  |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) |  | Code (Instr. 8)                  |       |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)                                  | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4)  |  |  |  |
| Common<br>Stock                      |   |  |                                  |       |   |  | 5,055   | I  | By Spouse                              |  |  |
| Common<br>Stock                      |   |  |                                  |       |   |  | 6,032   | Ι  | by<br>401k/ESOP-FBO<br>William Dishman |  |  |
| Common<br>Stock                      | 06/01/2018                              |  | M                                | 4,500 | A | \$<br>14.76  | 20,376  | D  |  |  |  |
| Common<br>Stock                      | 06/01/2018                              |  | F                                | 2,962 | D | \$ 40  | 17,414  | D  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Dishman William - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 2 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number ion of Deriva Securities Acquired (A) or Disposed (D) (Instr. 3, 4 and 5) | ative<br>es<br>d | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                                  |
|---|---|---|---|--------|---|------------------|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V | (A) (   | D)               | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amour<br>or<br>Number<br>of Shar |
| Stock<br>Appreciation<br>Right                      | \$ 35.9   |   |   |        |   |                  | 02/20/2019   | 02/20/2028         | Common<br>Stock   | 3,71                             |
| Stock<br>Appreciation<br>Right                      | \$ 14.02  |   |   |        |   |                  | 02/16/2011   | 02/16/2020         | Common<br>Stock   | 9,64                             |
| Stock<br>Appreciation<br>Right                      | \$ 15.84  |   |   |        |   |                  | 03/15/2012   | 03/15/2021         | Common<br>Stock   | 5,75                             |
| Stock<br>Appreciation<br>Right                      | \$ 15.24  |   |   |        |   |                  | 02/20/2013   | 02/20/2022         | Common<br>Stock   | 10,00                            |
| Stock<br>Appreciation<br>Right                      | \$ 19.37  |   |   |        |   |                  | 02/18/2015   | 02/18/2024         | Common<br>Stock   | 8,29                             |
| Stock<br>Appreciation<br>Right                      | \$ 22.96  |   |   |        |   |                  | 03/17/2016   | 03/17/2025         | Common<br>Stock   | 6,34                             |
| Stock<br>Appreciation<br>Right                      | \$ 25.76  |   |   |        |   |                  | 03/15/2017   | 03/15/2026         | Common<br>Stock   | 7,17                             |
| Stock<br>Appreciation<br>Right                      | \$ 40   |   |   |        |   |                  | 03/21/2018   | 03/21/2017         | Common<br>Stock   | 3,83                             |
| Stock<br>Appreciation<br>Right                      | \$ 14.76  | 06/01/2018                              |   | M      | 4,5   | 500              | 02/17/2010   | 02/17/2019         | Common<br>Stock   | 4,50                             |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dishman William

13130 READING ROAD Executive Vice President

GOSHEN, KY 40026

### **Signatures**

/s/ William Dishman 06/04/2018

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).