Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc. Form 8-K

January 31, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

**Date of Report: January 28, 2018** (Date of earliest event reported)

#### Firsthand Technology Value Fund, Inc.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction of incorporation)

814-00830
(Commission File Number)

(IRS Employer Identification Number)

150 Almaden Blvd., Suite 1250

95113 San Jose, CA (Zip Code)

(Address of principal executive offices)

#### (800) 976-8776

(Registrant's telephone number, including area code)

#### **Not Applicable**

(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of

#### Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

the registrant under any of the following provisions:

[ ]Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[ ]Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[ ]Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[ ]Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

[X]Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended [X] transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

5.02 Departure of Director; Certain Officers; Election of Directors; Appointment of Certain Of	fficers;
Compensatory Arrangements of Certain Officers	

On January 30, 2018, Firsthand Technology Value Fund, Inc. announced that Mark F. Fitzgerald resigned from its Board of Directors (the "Board"), effective on January 28, 2018.

(d) Exhibits

99.1 None.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 30, 2018 FIRSTHAND TECHNOLOGY VALUE FUND, INC.

By:/s/ Kevin Landis Kevin Landis President

# Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

### **Exhibit Index**

## **Exhibit No. Description**

99.1 Not Applicable.