Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc. Form 8-K

January 26, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: January 23, 2018

(Date of earliest event reported)

#### Firsthand Technology Value Fund, Inc.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction
(Commission File Number)
(IRS Employer

of incorporation) (Commission The Number) Identification Number)

150 Almaden Blvd., Suite 1250

95113

San Jose, CA (Zip Code)

(Address of principal executive offices)

#### (800) 976-8776

(Registrant's telephone number, including area code)

### **Not Applicable**

(Former Name or Former Address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of
the registrant under any of the following provisions:
[ ]Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[ ]Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[ ]Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01. Other Events

On January 23, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of December 2017. The top holdings include Pivotal Systems, QMAT, IntraOp Medical, Nutanix and Revasum. The press release also announced that, as of December 31, 2017, the Fund's top holdings constituted 63.2% of the Fund's preliminary net assets.

## Item 9.01. Financial Statements and Exhibits

(a)	Financi	ial statements:
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None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated January 23, 2018.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 23, 2018 TECHNOLOGY VALUE FUND, INC.

By:/s/ Kevin Landis Kevin Landis President

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## **Exhibit Index**

## **Exhibit No. Description**

99.1 Press release dated January 23, 2018