## Edgar Filing: BARBAROSSA GIOVANNI - Form 4

Form 4	SA GIOVAN	NI										
August 21, 2										OMB A	PPROVAL	
FORM	UNITE	D STATES				ND EX( D.C. 205		NGE (	COMMISSION	-	3235-0287	
Check this box if no longer subject to STATEMENT OF CHANG					GES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	Filed parts Section 1	7(a) of the		ility Ho	oldi	ng Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40		. 0.0	
(Print or Type R	esponses)											
BARBAROSSA GIOVANNI Symbol			er Name <b>and</b> Ticker or Trading NC [IIVI]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O II-VI IN SAXONBU	(First) NCORPORAT RG BLVD	(Middle) ED, 375	3. Date of (Month/D 08/18/20	ay/Year)	Trai	nsaction			Director X Officer (give below)	109	6) 6 Owner er (specify	
			mendment, Date Original Aonth/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
SAXONBU	RG, PA 16056	5							Form filed by M Person	Aore than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-	-De	rivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Yo	ear) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8	3)	4. Securi nAcquired Disposed (Instr. 3, Amount	l (A) o l of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	08/18/2017			А		5,856 (1)	А	\$0	64,197	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date				6. Date Exercisable and		7. Title and Amount of	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transactio Code (Instr. 8)	orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 35.25	08/18/2017		А	14,640	(2)	08/18/2027	Common Stock	14,640

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BARBAROSSA GIOVANNI C/O II-VI INCORPORATED 375 SAXONBURG BLVD SAXONBURG, PA 16056			СТО				
Signatures							
/s/ Jeffrey W. Acre, Attorney-in-Fact		08/21/201	7				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent a restricted stock award granted to the reporting person pursuant to the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in three equal annual installments beginning on August 18, 2018.
- (2) These options will vest in four equal annual installments beginning on August 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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