Edgar Filing: KAY CHRISTOPHER E - Form 4

| KAY CHRIS | TOPHER E | | | | | | | | | |
|---|--|--|---|--------------|-----------|-------------|---|--|-------------------------------------|--|
| Form 4 | | | | | | | | | | |
| December 04 | , 2018 | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | OMB APPROVAL | | |
| | CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | |
| Check this box | | | | | | | Expires: | January 31, | | |
| subject to | if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | Estimated | 2005 average | |
| | Section 16. SECURITIES | | | | | | | burden hours per | | |
| | Form 4 or | | | | | | | response 0.5 | | |
| Form 5 obligation | · · | | | | | | ge Act of 1934, | | | |
| may conti | | | • | • | - · | | of 1935 or Sectio | n | | |
| <i>See</i> Instru 1(b). | ction | 30(h) of th | e Investment | Compan | y Act | t of 19 | 40 | | | |
| (Print or Type R | esponses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> KAY CHRISTOPHER E | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | M& | M&T BANK CORP [MTB] | | | | (Check all applicable) | | | |
| (Last) | (First) (N | Iiddle) 3. Da | 3. Date of Earliest Transaction | | | | | | | |
| ONE M&T PLAZA | | | | | | | Director 10% Owner XOfficer (give titleOther (specify below) below) Executive Vice President | | | |
| | | | | | | | | | | |
| | | Filed | (Month/Day/Year) |) | | | Applicable Line) | | | |
| BUFFALO, | NY 14203 | | | | | | _X_ Form filed by Form filed by M Person | One Reporting Po More than One Ro | | |
| (City) | (State) | (Zip) | Fable I Non D | onivativa (| loonni | tion A o | quired, Disposed o | f or Ponoficia | lly Owned | |
| | | | | | | ues Au | | | - | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Data any (Month/Day/Y) | on Date, if TransactionAcqu Code Disp | | |)) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) | Indirect Beneficial Ownership | |
| | | | | | (A) or | | Reported Transaction(s) | (Instr. 4) | (Instr. 4) | |
| | | | Code V | | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 11/30/2018 | | A <u>(1)</u> | 7,101 (2) | А | \$ 0 (3) | 7,101 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. of Number of Derivative Securities Acquired (A) or Disposed of (D) | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owna Follo Repo Trans (Instr | |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|---|---|--|
| | | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|--------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| KAY CHRISTOPHER E ONE M&T PLAZA BUFFALO, NY 14203 | | | Executive Vice President | | | | |
| Signatures | | | | | | | |
| By: Karla L. Harlow, Esq. (Attorney-In-Fact) | 1 | | 12/04/2018 | | | | |
| **Signature of Reporting Persor | 1 | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction involves an award of restricted stock units under the M&T Bank Corporation 2009 Equity Compensation Plan. Each restricted stock unit represents a contingent right to receive one share of M&T Bank Corporation common stock upon vesting.
- (2) The restricted stock unit award includes a total of 7,101 shares. 2,367 of the restricted stock unit award will vest on November 29, 2019; an additional 2,367 shares will vest on November 30, 2020; and the remaining 2,367 shares will vest on November 30, 2021.
- (3) The restricted stock units were granted under an equity incentive compensation plan maintained by M&T Bank Corporation, and therefore the reporting person paid no price for the restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.