HOLDING FRANK B

Form 4

March 21, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

Symbol

OMB Number:

5. Relationship of Reporting Person(s) to

Issuer

191,761 (1)

3235-0287 January 31, Expires:

2005 Estimated average

OMB APPROVAL

burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Common

Stock

(Print or Type Responses)

HOLDING FRANK B

1. Name and Address of Reporting Person *

| | | | FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA] | | | | | RES | (Check all applicable) | | |
|---|-------------------------------------|--------------------|--|---------------------------------|------|--|--------|---------|--|--|---|
| (Last) (First) (Middle) 3. Date of E (Month/Day POST OFFICE BOX 1377 01/17/201 | | | | • | | | | | Director Officer (gi | | 0% Owner ther (specify |
| (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) SMITHFIELD, NC 27577 | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (State) | (Zip) | Tabl | le I - No | on-D | erivative S | Securi | ties Ac | quired, Disposed | of, or Benefici | ally Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction De (Month/Day/Yea | r) Executio any | med n Date, if Day/Year) | 3. Transa Code (Instr. | 8) | 4. Securit n(A) or Dis (D) (Instr. 3, 4 | sposed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Class A Common Stock | 01/17/2018 | | | G | V | 1,125 | D | \$ 0 | 682,038 | I | By Frank B. Holding Revocable Trust |
| Class A Common Stock | 02/20/2018 | | | G | V | 25,500 | D | \$0 | 656,538 | I | By Frank B. Holding Revocable Trust |
| Class A | | | | | | | | | | | |

By spouse

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| Class A Common Stock | | | | | | | 266,125 <u>(1)</u> | Ι | By Ella Ann Holding Revocable Trust |
|----------------------------|------------|---|---|-----|---|------|--------------------|---|--|
| Class A Common Stock | | | | | | | 300,000 (1) | I | By Ella Ann Holding 2016 GRAT |
| Class A Common Stock | | | | | | | 12,530 (2) | I | By Twin States Farming, Inc. |
| Class A Common Stock | | | | | | | 827 (2) | I | By E&F Properties, Inc. |
| Class A Common Stock | | | | | | | 2,675 <u>(2)</u> | I | By Holding Properties, LLC |
| Class B Common Stock | 01/22/2018 | G | V | 400 | D | \$ 0 | 581 (1) | I | By Ella Ann Holding Revocable Trust |
| Class B Common Stock | 02/05/2018 | G | V | 581 | D | \$ 0 | 0 | I | By Ella Ann Holding Revocable Trust |
| Class B Common Stock | | | | | | | 1,355 (2) | I | By Twin States Farming, Inc. |
| Class B Common Stock | | | | | | | 200 (2) | I | By E&F Properties, Inc. |
| Class B Common Stock | | | | | | | 2,156 (2) | I | By Holding Properties, Inc. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1474

(9-02)

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| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | | 4. Transacti | 5. | 6. Date Exerc Expiration Da | | 7. Title and Amount of | 8. Price of Derivative | 9. Nu Deriv |
|------------------------|---|--------------------------------------|----------------------|--------------------|---------|--------------------------------|--------------------|---|------------------------|---|
| Security (Instr. 3) | or Exercise Price of Derivative Security | (i.i.dia. Dayi Teal) | any (Month/Day/Year) | Code (Instr. 8) | of | (Month/Day/) e | | Underlying Securities (Instr. 3 and 4 | Security (Instr. 5) | Secur Bene Owne Follo Repo Trans (Instr |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title Amour or Numbe of Shares | er | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| r g | Director | 10% Owner | Officer | Other | | | | |
| HOLDING FRANK B | | | | | | | | |
| POST OFFICE BOX 1377 | | X | | | | | | |
| SMITHFIELD, NC 27577 | | | | | | | | |

Signatures

Frank B. Holding, By: William R. Lathan, Jr.,
Attorney-in-fact
03/16/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The reporting person is or was a director, officer and/or shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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