Edgar Filing: Nugent Jeffrey M - Form 4

Nugent Jeffr	ey M											
Form 4												
January 23, 2	2018											
FORM	14		~ ~ ~ ~ ~ ~					~ ~ ~		OMB AF	PROVAL	
	UNITE	D STATES				ND EXCI D.C. 2054		GE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long	ter									Expires:	January 31, 2005	
subject to		EMENT O	F CHAN	GES IN BENEFICIAL OWNERSHIP					NERSHIP OF	Estimated average		
Section 1	ction 16.				RI	TIES				burden hours per		
Form 4 o Form 5		urcuant to	Section 1	6(a) of t	ha	Securitie	e Eve	hange	e Act of 1934,	response	0.5	
obligatio	ns Section 1							-	1935 or Section	n		
may cont See Instru	inue.			•		Company	•					
1(b).	letion					1 5						
(Print or Type I	Responses)											
1 Name and A	ddress of Reportin	ng Person *	2 Insue	Nomoon	d 1	Fieler or Tr	odina		5. Relationship of	Reporting Pers	on(s) to	
1. Name and Address of Reporting Person * 2. Issuer Nugent Jeffrey M Symbol				r Name and Ticker or Trading					Issuer	reporting rous	011(0) 10	
e	•		•	Inc. [SI]	EN	11						
(Last)	(First)	(Middle)		Earliest 7		-			(Chec	k all applicable)	
(Liust)	(1100)	(Influence)	(Month/E		l I a	iisaction			X Director	10%	Owner	
420 SOUTH	I FAIRVIEW A	AVENUE,	01/19/2	-					X Officer (give		er (specify	
SUITE 200									below) Chairman o	below) of the Board and	I CEO	
	(Street)		4. If Ame	ndment, D	Date	e Original			6. Individual or Jo	int/Group Filin	g(Check	
			Filed(Mor						Applicable Line)		0(
									X Form filed by C Form filed by M			
SANTA BA	RBARA, CA 9	93117							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-	De	erivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Dee	med	3.		4. Securitie	s Acqu	uired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	ar) Executio	on Date, if		ion	(A) or Disp	osed c	of (D)	Securities	Form: Direct		
(Instr. 3)		any (Month/	Day/Year)	Code (Instr 8)		(Instr. 3, 4 a	and 5)		Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
		(Ivionui)	Day (1 Cal)	(Instr. 8)	'				Following	(Instr. 4)	(Instr. 4)	
							(A)		Reported			
							or		Transaction(s) (Instr. 3 and 4)			
Common				Code V	V	Amount	(D)	Price	(()			
Common Stock	01/19/2018			А		118,000	А	\$0	297,999	D		
(RSUs)	01/17/2010			A		(1)	Α	ψυ	271,779	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Nugent Jeffrey M 420 SOUTH FAIRVIEW AVENUE, SUITE 200 SANTA BARBARA, CA 93117	Х		Chairman of the Board and CEO					
Signatures								

Signatures

/s/ Jeffrey M	01/23/2018
Nugent	01/25/2018

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Restricted Stock Units (RSUs) vest in equal 1/3 amounts over a three (3) year period commencing on January 19, 2019. Vesting is (1) subject to Reporting Person's continuous service with the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.