AMBARELLA INC Form 4

March 19, 2015 FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * VERHALEN ANDREW W |             |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|---|-------------|----------|--|---|--|--|--|
|   |             |          | AMBARELLA INC [AMBA]                               | (Check all applicable)  |  |  |  |
| (Last)  | (First)     | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |  |
|   |             |          | (Month/Day/Year)                                   | X Director 10% Owner  |  |  |  |
| 260 HOMER   | R AVENUE, S | UITE 201 | 03/17/2015   | Officer (give title below) Other (specify below)  |  |  |  |
|   | (Street)    |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| DALO ALTO   | O GA 04201  |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |  |
| PALO ALTO   |             |          |  | Person  |  |  |  |
|   |             |          |  |   |  |  |  |

| (City)                               | (State)                              | (Zip) Tabl  | le I - Non-I                           | Derivative   | Secu | rities A   | cquired, Dispose   | d of, or Benefic   | cially Owned  |  |
|--------------------------------------|--------------------------------------|---|--|--|------|------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |      |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Ordinary<br>Shares                   | 03/17/2015                           |   | M                                      | 695  | A    | <u>(1)</u> | 43,994   | D  |   |  |
| Ordinary<br>Shares                   |                                      |   |  |  |      |            | 850  | I  | By Nicole<br>Brownstone<br>Verhalen<br>1997 Trust                 |  |
| Ordinary<br>Shares                   |                                      |   |  |  |      |            | 850  | I  | By Sara Rose<br>Verhalen<br>1997 Trust                            |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: AMBARELLA INC - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Price<br>Derivat<br>Security<br>(Instr. 5 |
|---|---|---|---|--|---------|--|--------------------|---|--|--|
|   |   |   |   | Code V                                 | (A) (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Restricted<br>Stock<br>Units                        | (1)   | 03/17/2015                              |   | M                                      | 695     | (2)  | <u>(2)</u>         | Ordinary<br>Shares  | 695                                    | \$ 0   |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

VERHALEN ANDREW W 260 HOMER AVENUE, SUITE 201 X PALO ALTO, CA 94301

### **Signatures**

/s/ Michael Morehead by Power of Attorney

03/19/2015

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.
- Of the total amount of 2,778 shares, the restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2014, so as to be 100% vested on September 15, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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