Edgar Filing: MICROSOFT CORP - Form 4

MICROSOFT CORP Form 4

November 26, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

					me and Tic Corporation		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) One Microsoft V	of Repo	orting	ntification g Person, (voluntary)	Numb	Mor	tatement for hth/Day/Year 2/02	Director 10% Owner X Officer (give title	Director 10% Owner X Officer (give title below) Other (specify below) Senior Vice				
(Street) Redmond, WA 98052							Date	Amendment, e of Original nth/Day/Year)	7. Individual or Join (Check Applicable L X Form filed by One Person Form filed by Mo Reporting Person	Line) e Reporting		
(City)	(State) ((Zip)	1	[able	e I Non-I	Deriva	tive Secu	rities Acquired, Di	isposed of, or Beneficially Owned			
	action Date (Month/ Day/		3. Transaction Code (Instr. 8	3)	or Dispose (Instr. 3, 4	osed of (D) 5, 4 & 5)		5. Amount of Securities Beneficially Owned Follow-	ship Form: Indi Direct (D) Ben or Indirect (I) Ow			
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(Instr. 4) (Ins	str. 4)		
Common Stock	11/22/02		M		50,000	A	\$11.31	L				
Common Stock	11/22/02		S		1,400	D	\$57.61	l				
Common Stock	11/22/02		S		600	D	\$57.63	3				
Common Stock	11/22/02		S		2,314	D	\$57.64	1				
Common Stock	11/22/02		S		7,500	D	\$57.65	5				
Common Stock	11/22/02		S		1,186	D	\$57.67	7				
Common Stock	11/22/02		S		3,000	D	\$57.70					
Common Stock	11/22/02		S		1,000	D	\$57.71					

Edgar Filing: MICROSOFT CORP - Form 4

Common Stock	11/22/02	S	1,000	D	\$57.72			
Common Stock	11/22/02	S	900	D	\$57.75			
Common Stock	11/22/02	S	3.900	D	\$57.78			
Common Stock	11/22/02	S	200	D	\$57.79			
Common Stock	11/22/02	S	2,000	D	\$57.80			
Common Stock	11/22/02	S	3,000	D	\$57.86			
Common Stock	11/22/02	S	5,000	D	\$57.90			
Common Stock	11/22/02	S	2,000	D	\$57.95			
Common Stock	11/22/02	S	3,000	D	\$58.00			
Common Stock	11/22/02	S	2,000	D	\$58.05			
Common Stock	11/22/02	S	2,000	D	\$58.15			
Common Stock	11/22/02	S	2,000	D	\$58.20			
Common Stock	11/22/02	S	1,000	D	\$58.21			
Common Stock	11/22/02	S	3,000	D	\$58.25			
Common Stock	11/22/02	S	2,000	D	\$58.30	991	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exerc	isable	7. Title ar	nd	8. Price of	9. Number of	10.	11. Natu
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	n	Amount of	of	Derivative	Derivative	Owner-	of Indire
Security	Exercise	Date	Execution	action	Derivative	Date		Underlyir	ng	Security	Securities	ship	Beneficia
	Price of		Date,	Code	Securities	(Month/Day/		Securities	3	(Instr. 5)	Beneficially	Form	Ownersh
(Instr. 3)	Derivative	(Month/	if any		Acquired	Year)		(Instr. 3 &	£ 4)		Owned	of Deriv-	(Instr. 4)
		-	(Month/	(Instr.	(A) or						Following	ative	
		Year)	Day/	8)	Disposed						Reported	Security:	
			Year)		of (D)						Transaction(s)	Direct	
											(Instr. 4)	(D)	
					(Instr. 3, 4						Í	or	
					& 5)							Indirect	
				Code V	(A) (D)	Date	Expira-	Title	Amount	1		(I)	
					. , , ,	Exer-cisable	-		or			(Instr. 4)	
							Date		Number				

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: MICROSOFT CORP - Form 4

									of Shares			
Employee	\$11.31	11/22/02	M		50,000	01/31/98	07/31/05	Common	50,000	226,480	D	
stock								stock				
option												
(Right to												
Buy)												

Explanation of Responses:

By: /s/ Shauna L. Vernal
Shauna L. Vernal, Attorney-in-Fact for Robert J.
Date

Bach

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).