#### Edgar Filing: CASS INFORMATION SYSTEMS INC - Form 4

#### CASS INFORMATION SYSTEMS INC

Form 4

November 18, 2013

Check this box

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

5 Relationship of Reporting Person(s) to

3235-0287

0.5

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Issuer Name and Tieler or Trading

1(b).

(Print or Type Responses)

1 Name and Address of Reporting Person \*

		ABER ERIC H	Symbol	er Name <b>and</b> Ticker or Trading  INFORMATION SYSTEMS  CASS]	Issuer  (Check all applicable)		
(Last) (First) (Middle)  12444 POWERSCOURT DRIVE, SUITE 550			, 5.24.00	of Earliest Transaction Day/Year) 2013	_X_ Director 10% Owner X Officer (give title Other (specify below) President, CEO		
	ST. LOUIS,	(Street) MO 63131		endment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
	(City)	(State)	(Zip) Tab	ole I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned		
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)  (Instr. 8)  (A)  or  Code V Amount (D) Price	O) Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)		
	Common Stock	11/14/2013		S 152 D \$ 57.7	5 58,628 <u>(2)</u> D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year			7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share	
Stock Appreciation Rights	\$ 23.48					01/23/2009(1)	01/21/2018	Common Stock	4,980	
Stock Appreciation Rights	\$ 24.93					01/27/2011(1)	01/25/2020	Common Stock	6,501	
Stock Appreciation Rights	\$ 29.95					01/26/2012(1)	01/24/2021	Common Stock	20,065	
Stock Appreciation Rights	\$ 33.56					01/25/2013(1)	01/23/2022	Common Stock	20,919	
Stock Appreciation Rights	\$ 42.14					01/23/2014(1)	01/21/2023	Common Stock	20,253	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
BRUNNGRABER ERIC H 12444 POWERSCOURT DRIVE SUITE 550 ST. LOUIS, MO 63131	X		President, CEO			

# **Signatures**

/s/ Eric H.
Brunngraber 11/18/2013

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Over a three year vesting period, SARs become exercisable in one-third increments on the anniversary date of the grant.
- (2) Includes restricted stock bonus shares, subject to vesting and forfeiture.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.