#### LEGENHAUSEN JAY A

Form 4

February 04, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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1(b).

(Print or Type Responses)

(Ctota)

(7:n)

1. Name and Address of Reporting Person ** LEGENHAUSEN JAY A			2. Issuer Name and Ticker or Trading Symbol Spansion Inc. [CODE]	5. Relationship of Reporting Person(s) to Issuer		
(I+)	(First)	(AREAL)	•	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	Director 10% Owner		
915 DEGUIGNE DRIVE, P.O. BOX 3453			01/31/2013	_X_ Officer (give title Other (specify		
				below) below)		
5.55				SVP, Worldwide Sales		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
				_X_ Form filed by One Reporting Person		
SUNNYVALE, CA 94088				Form filed by More than One Reporting		
SUINTI VALL, CA 94000				Person		

(City)	(State) (Z	(State) (Zip) <b>Tabl</b>			le I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transactio Code (Instr. 8)	ties Acquired sposed of (D) and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)						
Class A Common Stock	01/31/2013		M	10,832 (1)	A	\$ 0 (1)	13,332	D					
Class A Common Stock	01/31/2013		M	6,500 (1)	A	\$ 0 (1)	19,832	D					
Class A Common Stock	01/31/2013		F	10,000	D	\$ 11.5	9,832	D					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title a Underly (Instr. 3	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units	(1)	01/31/2012		M		10,832	(2)	07/29/2015 <u>(2)</u>	Class Comm Stock
Restricted Stock Units	(1)	01/31/2012		M	6,500		01/31/2013(3)	01/31/2019(3)	Class Comm Stock
Stock Option	\$ 11.5	01/31/2012		A	21,000		<u>(4)</u>	<u>(4)</u>	Class Comm Stock
Restricted Stock Units	(1)	01/31/2012		A	10,000		<u>(5)</u>	<u>(5)</u>	Class Comm Stock
Performance Restricted Stock Units	<u>(6)</u>	01/31/2012		A	20,000		<u>(7)</u>	<u>(7)</u>	Class Comm Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
LEGENHAUSEN JAY A 915 DEGUIGNE DRIVE P.O. BOX 3453 SUNNYVALE, CA 94088			SVP, Worldwide Sales				

## **Signatures**

/s/ Scot A. Griffin, Attorney-in-Fact for: JAY A. **LEGENHAUSEN** 

02/04/2013

\*\*Signature of Reporting Person

Date

2 Reporting Owners

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon vesting of the restricted stock units ("RSUs"), the Reporting Person is entitled to receive one (1) share of common stock for each one (1) RSU.
- Fifty percent (50%) of the RSUs will vest in four (4) successive and equal annual installments on the last trading day in January of each calendar year. Subject to the achievement of certain performance objectives, the remaining fifty percent (50%) of the RSUs will vest in four (4) successive and equal annual installments on the last trading day in January of each calendar year.
  - Vest over a three-year period, with 50 percent of the shares subject to performance goals in each of the three years commencing with the date of grant and a maximum of 50 percent of the shares subject to time-based vesting; If performance goals for the year of scheduled
- (3) vesting are not met in that year, the unvested shares will be carried forward and will be forfeited if not earned by the last performance year; If performance is above target in a particular year, up to 150 percent of the shares may be accelerated; Shares carried forward from prior years will be utilized before shares are accelerated.
- (4) Vest one third (1/3) annually on the anniversary of the date of grant and then monthly thereafter.
- (5) Vest one third (1/3) annually on the anniversary of the date of grant.
- (6) Upon vesting of the performance based restricted stock units ("PRSUs"), the Reporting Person is entitled to receive one (1) share of Class A Common Stock for each one (1) PRSU.
- (7) Subject to the achievement of certain performance objectives, fifty per cent (50%) of the PRSUs are eligible for vesting on the last trading day of July 2014 and the remaining fifty percent (50%) of the shares are eligible for vesting on the last trading day of January.

#### **Remarks:**

The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan and were for payment of the tax liabilit Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.