#### Edgar Filing: NEWTEK BUSINESS SERVICES INC - Form 4/A

#### NEWTEK BUSINESS SERVICES INC

Form 4/A March 14, 2007

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number: 3235-0287

Number: 3235-0287

Symiran: January 31,

**OMB APPROVAL** 

Expires: 2005
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0.5

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5 Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Januar Nama and Tielzer or Tradina

See Instruction 30(n) of the Investment Comp

1(b).

Common

Stock (1)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

03/12/2007

RUBIN JEFFREY			Symbol NEWTEK BUSINESS SERVICES INC [NEWT]			Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			_X_ Director _X_ Officer (give			
1440 BROADWAY, 17TH FLOOR			03/12/2007			below) below) President			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YORK, NY 10018						Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Securities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Dee	med	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	ar) Execution	on Date, if		on(A) or Disposed of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial	
		(Month/	Day/Year)	(Instr. 8)		Owned	Indirect (I)	Ownership	
						Following	(Instr. 4)	(Instr. 4)	

Code V

M

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

03/12/2007

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SEC 1474

(9-02)

Reported

Transaction(s) (Instr. 3 and 4)

4,291,505

D

(A)

(D)

Price

5.18

Amount

24,131

(2)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Deferred Shares (1)	\$ 0	03/12/2004(4)		M	24,131 (3)		03/12/2007	03/12/2007	Common Stock	24,
Deferred Shares	\$ 0	03/12/2007		M		24,131	03/12/2007	03/12/2007	Common Stock	24,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
RUBIN JEFFREY 1440 BROADWAY 17TH FLOOR NEW YORK, NY 10018	X	X	President				

# **Signatures**

Jeffrey G. Rubin 03/14/2007

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock issued in respect to deferred shares acquired under 2000 Stock Incentive and Deferred Compensation Plan.
- (2) Common stock issued in respect to deferred shares disposed on 3/12/2007, listed on Table II below.
- (3) Confirms the award reported on Form 4 dated 3/12/2004 and corrects the misstatement on Form 5 dated 7/14/2006.
- (4) Corrects misstatement on Form 4 submitted 3/14/07

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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