**ABIOMED INC** Form 4 May 15, 2015

### FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* MINOGUE MICHAEL R

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

ABIOMED INC [ABMD]

(Check all applicable)

C/O ABIOMED, INC... 22

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_ Officer (give title below)

10% Owner Other (specify

CHERRY HILL DRIVE

4. If Amendment, Date Original

President, CEO, Chairman

Filed(Month/Day/Year)

05/13/2015

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DANVERS, MA 01923

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4) Reported

(A)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common Stock \$.01

par value

05/13/2015

12,000 Α (5)

\$0 344,472 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: ABIOMED INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy) (1)	\$ 13.57						06/01/2007	06/01/2016	Common Stock	0
Stock Option (right to buy) (1)	\$ 13.8						05/23/2009(2)	05/23/2018	Common Stock	0
Stock Option (right to buy) (3)	\$ 18.63						06/15/2009(4)	08/13/2018	Common Stock	0
Stock Option (right to buy) (3)	\$ 5.86						05/28/2010(2)	05/28/2019	Common Stock	0
Stock Option (right to buy) (3)	\$ 5.86						05/28/2010(2)	05/28/2019	Common Stock	0
Stock Option (right to buy) (3)	\$ 10.03						06/03/2011(2)	06/03/2020	Common Stock	0
Stock Option (Right to Buy) (3)	\$ 22.44						05/22/2013(2)	05/22/2022	Common Stock	0
Stock Option (Right to Buy) (3)	\$ 23.15						05/14/2014(2)	05/14/2024	Common stock	0
Stock Option (right to buy) (3)	\$ 21.55						05/14/2015(6)	05/14/2024	Common Stock	0

Stock

buy)  $\frac{(3)}{(3)}$ 

Option (right to \$66.25 05/13/2015 A 35,000 05/13/2016(6) 05/13/2025 Common Stock 35,000

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MINOGUE MICHAEL R
C/O ABIOMED, INC.,
22 CHERRY HILL DRIVE
DANVERS, MA 01923

Relationships

Other

# **Signatures**

/s/ Stephen C. McEvoy (by power of attorney) 05/15/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable based on the achievement of certain performance milestones.
- Consists of restricted stock units granted to the reporting person. One third of the restricted stock units will vest and the underlying shares (5) will be issued to the reporting person on each of May 13, 2016, May 13, 2017 and May 13, 2018, so long as the reporting person continues to be employed by the issuer on the vesting dates.
- (6) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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