### Edgar Filing: CLARK MICHAEL F - Form 3

CLARK MICHAEL F

Form 3 May 04, 2012

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

CLARK MICHAEL F

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

05/01/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MModal Inc. [MODL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

**EVP** of Sales

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MMODAL INC., 2009 CAROTHERS PARKWAY, SUITE C-2

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

FRANKLIN. TNÂ 37067

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock

142,413 (1)

D

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative

Derivative Security:

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Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I)

(Instr. 5)

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CLARK MICHAEL F C/O MMODAL INC.

2009 CAROTHERS PARKWAY, SUITE C-2 Â Â ÊVP of Sales Â

FRANKLIN, TNÂ 37067

**Signatures** 

Mark R. Sullivan, Attorney-In-Fact for Michael F. Clark

05/03/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 129,607 shares of restricted stock of the Issuer, which will vest in equal amounts on a quarterly basis until fully vested on March 31, 2014, subject to the reporting person's continued employment with the Issuer through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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