Nuveen Short Duration Credit Opportunities Fund Form SC 13G/A February 14, 2019

UNITED S	TATES
----------	-------

# SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### **SCHEDULE 13G/A**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 1)\*

## **Nuveen Short Duration Credit Opportunities Fund**

(Name of Issuer)

### **Common Stock**

(Title of Class of Securities)

#### 67074X107

(CUSIP Number)

December 31, 2018

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- x Rule 13d-1(b)
- o Rule 13d-1(c)

o Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

HC

**SCHEDULE 13G/A** 

Page 2 of 14 Pages

```
NAME OF REPORTING PERSONS
1
      Guggenheim Capital, LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
2
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                    SOLE VOTING POWER
                     5
    NUMBER OF
                                    0
      SHARES
                                    SHARED VOTING POWER
   BENEFICIALLY
                     6
     OWNED BY
                                    441,144
       EACH
                                    SOLE DISPOSITIVE POWER
     REPORTING
                     7
      PERSON
                                    0
WITH
                                    SHARED DISPOSITIVE POWER
                     8
                                    441,144
9
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
441,144
10
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
4.37%
TYPE OF REPORTING PERSON
```



HC

SCHEDULE 13G/A

Page 3 of 14 Pages

	NAME OF REPORTING PERSONS		
1	Guggenheim Partners, LLC		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) o (b) o		
3	SEC USE ONLY		
4		R PLACE OF ORGA	NIZATION
,	Delaware	5	SOLE VOTING POWER
	NUMBER OF SHARES ENEFICIALLY	6	0 SHARED VOTING POWER
	OWNED BY EACH	O	441,144
]	REPORTING PERSON	7	SOLE DISPOSITIVE POWER
WITH			0
		8	SHARED DISPOSITIVE POWER
0			441,144
9 AGGRI	EGATE AMOUNT	BENEFICIALLY O'	WNED BY EACH REPORTING PERSON
441,144	1		
10 CHECK	K IF THE AGGREC	SATE AMOUNT IN	ROW (9) EXCLUDES CERTAIN SHARES
o 11 PERCE	ENT OF CLASS RE	PRESENTED BY A	MOUNT IN ROW (9)
4.37% 12 TYPE OF REPORTING PERSON			



HC

SCHEDULE 13G/A

Page 4 of 14 Pages

```
NAME OF REPORTING PERSONS
1
      GI Holdco II LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
2
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                    SOLE VOTING POWER
                     5
                                    0
    NUMBER OF
      SHARES
                                    SHARED VOTING POWER
   BENEFICIALLY
                     6
     OWNED BY
                                    441,144
       EACH
                                    SOLE DISPOSITIVE POWER
     REPORTING
                     7
      PERSON
                                    0
WITH
                                    SHARED DISPOSITIVE POWER
                     8
                                    441,144
9
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
441,144
10
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
4.37%
TYPE OF REPORTING PERSON
```



HC

**SCHEDULE 13G/A** 

Page 5 of 14 Pages

```
NAME OF REPORTING PERSONS
1
      GI Holdco LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
2
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                    SOLE VOTING POWER
                     5
                                    0
    NUMBER OF
      SHARES
                                    SHARED VOTING POWER
   BENEFICIALLY
                     6
     OWNED BY
                                    441,144
       EACH
                                    SOLE DISPOSITIVE POWER
     REPORTING
                     7
      PERSON
                                    0
WITH
                                    SHARED DISPOSITIVE POWER
                     8
                                    441,144
9
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
441,144
10
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
4.37%
TYPE OF REPORTING PERSON
```



HC

SCHEDULE 13G/A

Page 6 of 14 Pages

1	NAME OF REPORTING PERSONS		
1	Guggenheim Partners Investment Management Holdings, LLC		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) o (b) o		
3	SEC USE ONLY		
4	CITIZENSHIP OR PLACE OF ORGANIZATION		
•	Delaware		
		5	SOLE VOTING POWER
]	NUMBER OF	3	0
RI	SHARES ENEFICIALLY		SHARED VOTING POWER
	OWNED BY	6	441,144
	EACH REPORTING		SOLE DISPOSITIVE POWER
WITH	PERSON	7	0
WIIH			SHARED DISPOSITIVE POWER
		8	
9			441,144
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON			
441,144	1		
10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES			
CHECK	CIF THE AGGREG	JATE AMOUNT IN	ROW (9) EXCLUDES CERTAIN SHARES
0			
11	ENT OF CLASS RE	EPRESENTED BY A	MOUNT IN ROW (9)
4.37%			
12 TYPE (	OF REPORTING P	ERSON	



HC

SCHEDULE 13G/A

Page 7 of 14 Pages

1	NAME OF REPOR	TING PERSONS	
1	Guggenheim Funds Services, LLC		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a) o (b) o		
3	SEC USE ONLY		
4	CITIZENSHIP OR	PLACE OF ORGAN	NIZATION
•	Delaware		
		5	SOLE VOTING POWER
N	NUMBER OF	3	0
	SHARES ENEFICIALLY	6	SHARED VOTING POWER
(	OWNED BY EACH	O .	440,444
F	REPORTING		SOLE DISPOSITIVE POWER
WITH	PERSON	7	0
W 1 1 1 1			SHARED DISPOSITIVE POWER
		8	SIMINED DISTOSTITVE TO WER
0			440,444
9 AGGRE	GATE AMOUNT E	BENEFICIALLY OV	VNED BY EACH REPORTING PERSON
440,444			
10 CHECK	IF THE AGGREGA	ATE AMOUNT IN F	ROW (9) EXCLUDES CERTAIN SHARES
o 11 PERCE	NT OF CLASS REP	RESENTED BY AM	MOUNT IN ROW (9)
4.36% 12 TYPE C	OF REPORTING PE	RSON	

IA & BD

SCHEDULE 13G/A

Page 8 of 14 Pages

1	NAME OF REPORTING PERSONS		
1	Guggenheim Funds Distributors, LLC		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) o (b) o		
3	SEC USE ONLY		
4	CITIZENSHIP OI	R PLACE OF ORGA	NIZATION
7	Delaware		
		5	SOLE VOTING POWER
I	NUMBER OF	3	0
RI	SHARES ENEFICIALLY		SHARED VOTING POWER
	OWNED BY	6	440,444
	EACH REPORTING		SOLE DISPOSITIVE POWER
WITH	PERSON	7	0
VV 1111			SHARED DISPOSITIVE POWER
		8	440 444
9			440,444
AGGRI	EGATE AMOUNT	BENEFICIALLY O	WNED BY EACH REPORTING PERSON
440,444	1		
10	Z IE THE ACCDEC	LATE AMOUNT IN	ROW (9) EXCLUDES CERTAIN SHARES
СПЕСТ	TIF THE AUGKEC	JATE AMOUNT IN	ROW (9) EXCLUDES CERTAIN SHARES
0			
11	NT OF CLASS RE	PRESENTED BY A	MOUNT IN ROW (9)
4.36% 12			
	OF REPORTING P	ERSON	



**SCHEDULE 13G/A** 

Page 9 of 14 Pages

#### Item 1. (a) Name of Issuer:

Nuveen Short Duration Credit Opportunities Fund

### (b) Address of Issuer's Principal Executive Offices:

333 West Wacker Drive, Chicago, Illinois 60606

#### Item 2.

#### (a) Name of Person Filing:

This Statement is jointly filed by Guggenheim Capital, LLC, Guggenheim Partners, LLC, GI Holdco II LLC, GI Holdco LLC, Guggenheim Partners Investment Management Holdings, LLC, Guggenheim Funds Services, LLC and Guggenheim Funds Distributors, LLC ("GFD") pursuant to Rule 13d-1(k)(1). This statement relates to the shares of Common Stock (the "Shares"), of the Issuer beneficially owned directly by GFD, a Delaware limited liability company, and by certain other subsidiaries of Guggenheim Capital, LLC (the "Subsidiaries"). GFD acts as sponsor and supervisor of certain unit investment trusts which directly hold the Shares reported herein. In such capacity, GFD has the power to dispose or direct the disposition of the Shares held by these unit investment trusts. These Shares are voted by the trustee of such unit investment trusts so as to ensure that the Shares are voted as closely as possible in the same manner and in the same general proportion as are the Shares held by owners other than such unit investment trust. Guggenheim Capital, LLC is the majority owner of Guggenheim Partners, LLC, GI Holdco II LLC, GI Holdco LLC, Guggenheim Partners Investment Management Holdings, LLC, Guggenheim Funds Services, LLC and GFD. GFD is a registered investment adviser under Section 203 of the Investment Advisers Act of 1940 and a broker dealer registered under Section 15 of the Securities and Exchange Act of 1934.

## (b) Address of Principal Business Office, or, if none, Residence:

Guggenheim Capital, LLC: 227 West Monroe Street, Chicago, IL 60606

Guggenheim Partners, LLC: 227 West Monroe Street, Chicago, IL 60606

GI Holdco II LLC: 330 Madison Avenue, New York, NY 10017

GI Holdco LLC: 330 Madison Avenue, New York, NY 10017

Guggenheim Partners Investment Management Holdings, LLC: 330 Madison Avenue, New York, NY 10017

Guggenheim Funds Services, LLC: 227 West Monroe Street, Chicago, IL 60606

Guggenheim Funds Distributors, LLC: 227 West Monroe Street, Chicago, IL 60606

(c) Citizenship:

Guggenheim Capital, LLC is a Delaware limited liability company.

Guggenheim Partners, LLC is a Delaware limited liability company.

67074X107
(e) CUSIP Number:
Common Stock
(d) Title of Class of Securities:
Guggenheim Funds Distributors, LLC is a Delaware limited liability company.
Guggenheim Funds Services, LLC is a Delaware limited liability company.
Guggenheim Partners Investment Management Holdings, LLC is a Delaware limited liability company.
GI Holdco LLC is a Delaware limited liability company.
GI Holdco II LLC is a Delaware limited liability company.
Edgar Filing: Nuveen Short Duration Credit Opportunities Fund - Form SC 13G/A

SCHEDULE 13G/A

Page 10 of 14 Pages