Edgar Filing: BERKSHIRE HATHAWAY INC - Form 4

BERKSHIRE HATHAWAY INC Form 4 September 16, 2009 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BILL & MELINDA GATES** Issuer Symbol FOUNDATION TRUST BERKSHIRE HATHAWAY INC (Check all applicable) [BRK/B] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X__ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 1551 EASTLAKE AVENUE E. 09/15/2009 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting SEATTLE, WA 98102 Person (Zip) (City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. Execution Date, if Security (Month/Day/Year) Transaction Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: any (Month/Day/Year) (Instr. 8) Owned Direct (D) Following or Indirect Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Class B Common S⁽¹⁾ 200 \$ 3,261 09/15/2009 D 1,639,228 D Stock Class B Common S 100 \$ 3.269.6 1.639.128 D 09/15/2009 D Stock Class B Common S 100 D

D

D

100

S

\$ 3,271

\$ 3.274

1,639,028

1,638,928

D

09/15/2009

09/15/2009

Stock Class B

Common

3235-0287

January 31,

7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

2005

0.5

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Stock							
Class B Common Stock	09/15/2009	S	100	D	\$ 3,277.05	1,638,828	D
Class B Common Stock	09/15/2009	S	100	D	\$ 3,286	1,638,728	D
Class B Common Stock	09/15/2009	S	100	D	\$ 3,291	1,638,628	D
Class B Common Stock	09/15/2009	S	100	D	\$ 3,299	1,638,528	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	'Year)	Underl	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	2		Securit	ties	(Instr. 5)	Bene
	Derivative			Securities				(Instr.	3 and 4)		Owne
	Security			Acquired							Follo
	•			(A) or							Repo
					Disposed						Trans
					of (D)						(Instr
				(Instr. 3,							
				4, and 5)							
					,				Amount		
									Amount		
						Date Exercisable	Expiration Date	or			
									Number		
				Colo V	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / AddressRelationshipDirector10% OwnerOfficerOtherBILL & MELINDA GATES FOUNDATION TRUSTXXX1551 EASTLAKE AVENUE E.
SEATTLE, WA 98102XXX

Signatures

Bill & Melinda Gates Foundation Trust By: /s/ Laurie A. Smiley, Attorney-in-Fact for each of the Co-Trustees, William H. Gates III and Melinda French Gates

**Signature of Reporting Person

09/16/2009

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this filing were made pursuant to Bill & Melinda Gates Foundation Trust's 10b5-1 sales plan to facilitate compliance with federal excise tax rules limiting excess business holdings by private foundations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.