#### MDC PARTNERS INC

Form 4 April 27, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** 

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

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Form filed by More than One Reporting

Person

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kauffman Scott L Issuer Symbol MDC PARTNERS INC [MDCA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify C/O MDC PARTNERS INC., 745 04/26/2016 below) below) FIFTH AVENUE, 19TH FLOOR **CEO** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

## NEW YORK, NY 10151

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |        |             |  |  |   |
|--------------------------------------|--------------------------------------|--|--|---|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Shares                    |                                      |  |  |   |        |             | 308,364 (1)  | D  |   |
| Class A<br>Shares                    | 04/26/2016                           |  | M                                      | 37,500                                      | A      | \$ 5.97     | 345,864 <u>(1)</u>   | D  |   |
| Class A<br>Shares                    | 04/26/2016                           |  | F(2)                                   | 24,880                                      | D      | \$<br>22.57 | 320,984 (1)  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|--|--|--------|--|--------------------|--|-------------------------------------|
|   |   |   | Code V                                 | (A)  | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Options                                    | \$ 5.97   | 04/26/2016                              | M                                      |  | 37,500 | 04/28/2007   | 04/28/2016         | Class<br>A<br>Shares   | 37,500                              |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| Kauffman Scott L<br>C/O MDC PARTNERS INC.<br>745 FIFTH AVENUE, 19TH FLOOR<br>NEW YORK, NY 10151 | X             |           | CEO     |       |  |  |

## **Signatures**

Reporting Person

/s/ Scott L.
Kauffman

\*\*Signature of Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of unvested Restricted Stock (Class A Shares) of the Issuer.
- Reflects the election by Mr. Kauffman to have Class A Shares withheld by the Issuer to satisfy the exercise price and tax withholding requirements relating to the exercise of the options reported herein. None of the shares reported herein were sold in the open market. The expiration date of the options reported herein was April 28, 2016 (the tenth anniversary of the grant date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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