REDWOOD TRUST INC

Form 4

March 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KUBICEK GREG H Issuer Symbol REDWOOD TRUST INC [RWT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director Officer (give title 2601 NE 163RD COURT 03/01/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

10% Owner

Other (specify

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

VANCOUVER, WA 98684

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/01/2016		Code V P	Amount 400	(D)	Price \$ 12.0499	400	D	
Common Stock	03/01/2016		P	600	A	\$ 12.05	1,000	D	
Common Stock	03/01/2016		P	300	A	\$ 12.06	1,300	D	
Common Stock	03/01/2016		P	3,700	A	\$ 12.07	5,000	D	
Common Stock							2,122	I	by IRA
							22,148	I	

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Common Stock			by Pension
Common Stock	1,913	I	by Spouse
Common Stock	73,533.99	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. 6. Date Exercisable a Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amour Underl Securit (Instr.	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				4, and 5)	Date Exercisable	Expiration		Amount or Number		
			Code V	(A) (D)	Exercisable	Date		of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Troporting O Water Tumber Trade	Director	10% Owner	Officer	Other			
KUBICEK GREG H 2601 NE 163RD COURT VANCOUVER, WA 98684	X						

Signatures

/s/ Attorney-In-Fact: Andrew P. Stone For: Greg 03/01/2016 Kubicek

**Signature of Reporting Person

2 Reporting Owners

Date

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.