Edgar Filing: MDC PARTNERS INC - Form 3

MDC PARTNERS INC

Form 3

September 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MDC PARTNERS INC [MDCA] À SENECAL LORI ANN (Month/Day/Year) 09/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MDC PARTNERS. (Check all applicable) INC., 745 FIFTH AVENUE (Street) 6. Individual or Joint/Group 10% Owner _X__ Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting CEO of The MDC Partner Person NEW YORK, NYÂ 10151 Network Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Class A Subordinate Voting Shares D 66,331 Class A Restricted Stock (1) 87,500 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Dav/Year) **Derivative Security** Form of or Exercise (Instr. 5) (Instr. 4) Price of Derivative

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MDC PARTNERS INC - Form 3

Date Expiration Title Amount or Derivative Security:

Exercisable Date Number of Security Direct (D)

Shares or Indirect

(I)

(Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer

Other

SENECAL LORI ANN

C/O MDC PARTNERS, INC.

 X Â Â CEO of The MDC Partner Network Â

745 FIFTH AVENUE NEW YORK, NYÂ 10151

Signatures

/s/ Lori Senecal 09/01/2014

**Signature of Person Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - "Class A Restricted Stock" means Class A Subordinate Voting Shares of the Issuer (hereinafter, "Class A Shares") granted to the Reporting Person pursuant to Restricted Stock Grant Agreements dated as of November 1, 2013 and September 1, 2014 (the "Grant
- (1) Dates"), respectively. The Restricted Stock will vest and the transfer restrictions shall lapse on the third anniversary of the applicable Grant Date (the "Lapse Date"), contingent upon certain events and the Reporting Person's continued service as Executive Officer of the Issuer until such Lapse Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2