#### RANKIN CLAIBORNE R

Form 4

January 04, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 01/02/2019

\_X\_\_ Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below)

Member of a Group

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### MAYFIELD HEIGHTS, OH 44124

(Ctata)

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities A	cquired, Dispos	ed of, or Bene	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	(A) o of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	01/02/2019		A(1)	456	A	\$ 0	132,529	I	Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin
Class A Common Stock							35,857	I	Spouse's proportionate interests in shares held by Rankin

			Associates I.
Class A Common Stock	2,116	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II LP (2)
Class A Common Stock	551	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	2,283	I	Held in trust fbo Reporting Person's spouse. (2)
Class A Common Stock	19	I	Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person as general partner
Class A Common Stock	14,567	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P
Class A Common Stock	35,628	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common	65,824	I	proportionate limited

Stock			partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	595	I	Interest in Shares held by Rankin Associates V
Class A Common Stock	254	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	70	I	interest in shares held by RAV held by Rankin Management, Inc. ("RMI")
Class A Common Stock	86	I	interest in shares held by RAVI held by Rankin Management, Inc. ("RMI")
Class A Common Stock	1,975	I	proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	26,797	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	26,797	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common	26,797	I	Serves as Trustee of the

Stock GSTs for the benefit of Julia R. Kuipers Class A Common 0 D Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and Amount of 8. Price 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date Underlying Securities** Derivati Security or Exercise Code of (Month/Day/Year) (Instr. 3 and 4) Security (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Derivative (Instr. 5 Derivative Securities Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Title Date Number of Date Exercisable Code V (A) (D) Shares Class B Class A (3) (3) Common Common 64,143 <u>(3)</u> Stock Stock Class B Class A (3) (3) Common (3) Common 2,116 Stock Stock Class B Class A Common (3) (3) (3) Common 2,783 Stock Stock

(3)

31

(3)

(3)

Class B Common Stock				Class A Common Stock	
Class B Common Stock	( <u>3)</u>	<u>(3)</u>	(3)	Class A Common Stock	26,057
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	35,628
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	104,286
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	1,975
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	123,760
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	(3)	Class A Common Stock	6,889

Class B Common Stock	(3)		<u>(3)</u>	(3)	Class A Common Stock	6,889
Class B Common Stock	<u>(3)</u>		(3)	(3)	Class A Common Stock	6,889

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN CLAIBORNE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

X

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

01/04/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award-Shares of Class A Common Stock awarded to the Reporting Person as "Required Shares" under the company's Non-Employee Directors' Equity Compensation Plan.
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A
- (4) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 6