Butler Griffin B Form 4 November 20, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** OMB

Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31,

subject to Section 16. Form 4 or Form 5

obligations

Expires: 2005 Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

burden hours per response... 0.5

10% Owner

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Butler Griffin B

(First)

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Check all applicable)

5875 LANDERBROOK DRIVE 11/15/2018

(Middle)

(Month/Day/Year)

3. Date of Earliest Transaction

Officer (give title \_\_X\_ Other (specify below) below) Member of a Group

Director

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner								icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	A. Deemed 3. 4. Securities Acquir Execution Date, if Transaction(A) or Disposed of Code (Instr. 3, 4 and 5)  Month/Day/Year)  (A) or			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	11/15/2018		G	V	398	A	\$0	677	I	proportionate partnership interest shares held by AMR Associates LP held in trust
Class A Common Stock	11/16/2018		P		3 (1)	A	\$ 63.31 (2)	432	I	proportionate interest in shares held by Rankin Associates VI
	11/19/2018		P		2 (1)	A		434	I	

#### Edgar Filing: Butler Griffin B - Form 4

Class A Common Stock					\$ 63.71			proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	1 (1)	A	\$ 65	435	I	proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						4,357	I	Held in Trust for Reporting Person. Father is Custodian.
Class A Common Stock						8,572	I	Proportionate limited partnership interests in shares held by Rankin Associates II.
Reminder: Re	eport on a separate line for each class of secu	rities bene				r indirectly.	lection of	SEC 1474
			. 5.0					5201171

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

required to respond unless the form displays a currently valid OMB control

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transa Code (Instr.			vative rities nired or osed o) r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr. :
			Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(3)	11/15/2018	G	V	464		(3)	(3)	Class A Common Stock	464	\$ (

(9-02)

Class B Common Stock	(3)	<u>(3)</u> <u>(3</u>	3)	Class A Common Stock	3,527
Class B Common Stock	<u>(3)</u>	<u>(3)</u> <u>(3</u>	<u>3)</u>	Class A Common Stock	8,572

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Butler Griffin B 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

#### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-16-Weighted Average Share Price represents average price between \$62.90 and \$63.50.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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