

RANKIN CLAIBORNE R JR
Form 4
November 13, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CLAIBORNE R JR

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/08/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----|---|---|
| | | | Code | V | Amount | (D) | Price | | | |
| Class A Common Stock | 11/08/2018 | | G | V | 223 | A | \$ 0 | 413 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 11/08/2018 | | G | V | 158 | A | \$ 0 | 158 | I | Reporting Person's trust's proportionate interests in shares held by |

| | | | | | | | | | |
|----------------------------|------------|---|---|------------------|---|------|--------|---|--|
| Class A Common Stock | 11/08/2018 | G | V | 223 | A | \$ 0 | 223 | I | Rankin Associates VI Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 11/08/2018 | G | V | 102 | D | \$ 0 | 10,691 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | 11/08/2018 | G | V | 102 | A | \$ 0 | 464 | I | Child's proportionate limited partnership interest in shares held by RA II LP |
| Class A Common Stock | 11/08/2018 | G | V | 102 | A | \$ 0 | 10,793 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | 11/08/2018 | G | V | 205 | A | \$ 0 | 669 | I | Child's proportionate limited partnership interest in shares held by RA II LP |
| Class A Common Stock | 11/08/2018 | G | V | 102 | A | \$ 0 | 10,895 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates II. |
| | 11/09/2018 | P | | 2 ⁽¹⁾ | A | | 415 | I | |

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| | | | | | | | | | |
|----------------------------|------------|---|--------------|---|---------------------------|-----|---|--|--|
| Class A Common Stock | | | | | \$ 64.98 <u>(2)</u> | | | | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 11/09/2018 | P | 1 <u>(1)</u> | A | \$ 64.98 <u>(2)</u> | 159 | I | | Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI |
| Class A Common Stock | 11/09/2018 | P | 1 <u>(1)</u> | A | \$ 64.98 <u>(2)</u> | 224 | I | | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | | | | | | 769 | I | | Held by a trust for the benefit of Reporting Person |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|---|
| | <u>(3)</u> | 11/08/2018 | | G V | (A) (D) 102 | Date Exercisable <u>(3)</u> Expiration Date <u>(3)</u> | Title Amount or Number of Shares 102 | \$ |

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(3)

11/08/2018

G V 102

(3)

(3)

Class A
Common
Stock

102

\$

Class B
Common
Stock

(3)

11/08/2018

G V 102

(3)

(3)

Class A
Common
Stock

102

\$

Class B
Common
Stock

(3)

11/08/2018

G V 205

(3)

(3)

Class A
Common
Stock

205

\$

Class B
Common
Stock

(3)

11/08/2018

G V 102

(3)

(3)

Class A
Common
Stock

102

\$

Class B
Common
Stock

(3)

(3)

(3)

Class A
Common
Stock

10,027

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

RANKIN CLAIBORNE R JR
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-9-Weighted Average - Share Price represents average price between \$64.92 and \$64.99.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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