Kuipers Jacob A Form 4

# November 06, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

Check this box if no longer subject to

3235-0287 Number: January 31,

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

Expires:

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Kuipers Jacob A

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

Issuer (Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 11/02/2018

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

Member of a Group

5875 LANDERBROOK DRIVE, **SUITE 300** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	quired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	189	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	189	I	Proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						507	I	Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP (3)
Class A Common Stock						240	D	

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Class A Common Stock	13,793	I	spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P (3)
Class A Common Stock	10,242	I	Held in Trust for benefit of Reporting Person's spouse (3)
Class A Common Stock	362	I	Child's proportionate limited partnership interest in shares held by RA II LP, spouse as trustee
Reminder: Report on a separate line for each class of securities beneficially owned dir	ectly or indirectly.		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

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1. Title of Derivative Security (Instr. 3)  Conversion or Exercise Derivative Security		4. Transac Code (Instr. 8	5. tionNumb of ) Deriv Secur Acqu (A) or Disport of (D (Instr 4, and	rative rities ired r osed )	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
Class B (4) Common Stock		Code '	V (A)	(D)	Date Exercisable	Expiration Date	Title  Class A  Common  Stock	Amount or Number of Shares 507	

SEC 1474

(9-02)

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	240
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	13,793
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	10,072
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	362

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kuipers Jacob A 5875 LANDERBROOK DRIVE, SUITE 300 MAYFIELD HEIGHTS, OH 44124

Member of a Group

**Signatures** 

/s/ Suzanne S. Taylor, attorney-in-fact

11/06/2018

\*\*Signature of Reporting Person Date

Reporting Owners 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-5-Weighted Average Share Price represents average price between \$64.83 and \$64.99.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.