Rankin Lynne T Form 4 November 02, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

January 31,

2005

Number: Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Estimated average burden hours per response... 0.5

(Check all applicable)

Member of a Group

below)

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rankin Lynne T Issuer

Symbol **HYSTER-YALE MATERIALS** 

HANDLING, INC. [HY]

Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner Officer (give title \_\_X\_ Other (specify (Month/Day/Year)

5875 LANDERBROOK DRIVE 11/01/2018

> (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

Person

MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                       |        |                    |  |  |  |
|--------------------------------------|---|--|--|---------------------------------------|--------|--------------------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)  |
| Class A<br>Common<br>Stock           | 11/01/2018                              |  | P                                      | 1 (1)                                 | A      | \$ 61.67 (2)       | 187  | I  | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares |
| Class A<br>Common<br>Stock           | 11/01/2018                              |  | P                                      | 1 (1)                                 | A      | \$<br>61.67<br>(2) | 187  | I  | Co-trustee of trust fbo nephew.  |

|                            |            |   |       |   |                    |     |   | proportionate<br>interests in<br>Rankin Assoc<br>VI shares  |
|----------------------------|------------|---|-------|---|--------------------|-----|---|---|
| Class A<br>Common<br>Stock | 11/01/2018 | P | 2 (1) | A | \$<br>61.67<br>(2) | 188 | I | Spouse's proportionate interest in shares held by Rankin Associates VI  |
| Class A<br>Common<br>Stock | 11/01/2018 | P | 2 (1) | A | \$ 61.67 (2)       | 188 | I | Reporting<br>Person's<br>proportionate<br>interest in<br>shares held in<br>Rankin<br>Associates VI              |
| Class A<br>Common<br>Stock | 11/01/2018 | P | 1 (1) | A | \$<br>61.67<br>(2) | 187 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                            |
| Class A<br>Common<br>Stock | 11/01/2018 | P | 1 (1) | A | \$<br>61.67<br>(2) | 187 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                            |
| Class A<br>Common<br>Stock | 11/01/2018 | P | 1 (1) | A | \$<br>62.18<br>(3) | 188 | I | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares  |
| Class A<br>Common<br>Stock | 11/01/2018 | P | 1 (1) | A | \$ 62.18 (3)       | 188 | I | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>VI shares |
| Class A<br>Common          | 11/01/2018 | P | 1 (1) | A | \$<br>62.18        | 188 | I | Child's proportionate   |

| Stock                      |            |   |       |   | (3)          |        |   | interest in<br>shares held by<br>Rankin<br>Associates VI   |
|----------------------------|------------|---|-------|---|--------------|--------|---|--|
| Class A<br>Common<br>Stock | 11/01/2018 | P | 1 (1) | A | \$ 62.18 (3) | 188    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                         |
| Class A<br>Common<br>Stock |            |   |       |   |              | 262    | I | child's proportionate limited partnership interest in shares held by Rankin Associates II                    |
| Class A<br>Common<br>Stock |            |   |       |   |              | 9,665  | I | Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. (4)        |
| Class A<br>Common<br>Stock |            |   |       |   |              | 15,853 | I | Shares held<br>by Spouse. (4)  |
| Class A<br>Common<br>Stock |            |   |       |   |              | 563    | D |  |
| Class A<br>Common<br>Stock |            |   |       |   |              | 862    | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P |
| Class A<br>Common<br>Stock |            |   |       |   |              | 454    | I | Minor child?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.                 |

|  |   |                   | <u>(4)</u>   |
|--|---|-------------------|--|
| Class A Common Stock   | 1,553   | I                 | Spouse is co-trustee of Trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (4) |
| Class A Common Stock   | 645   | I                 | Spouse serves as co-trustee of a trust for the benefit of minor niece.                                   |
| Class A Common Stock   | 1,405   | I                 | Spouse is co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares (4) |
| Class A Common Stock   | 563   | I                 | Reporting Person's Spouse is co-trustee of the trust of minor nephew (4)                                 |
| Reminder: Report on a separate line for each class of securities benefic | Persons who respond to the col information contained in this for required to respond unless the f displays a currently valid OMB onumber. | rm are not<br>orm | SEC 1474<br>(9-02)   |

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|------------|-------------------------|------------------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Date         | Underlying Securities  | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/Year)        | (Instr. 3 and 4)       | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                       |                        | (Instr. 5)  |
|             | Derivative  |                     |                    |            | Securities |                         |                        |             |
|             | Security    |                     |                    |            | Acquired   |                         |                        |             |

(A) or

Disposed of (D) (Instr. 3, 4, and 5)

|                            |            | Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |
|----------------------------|------------|------|---|-----|-----|---------------------|--------------------|----------------------------|-------------------------------------|
| Class B<br>Common<br>Stock | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 262                                 |
| Class B<br>Common<br>Stock | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 9,665                               |
| Class B<br>Common<br>Stock | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 15,683                              |
| Class B<br>Common<br>Stock | (5)        |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 563                                 |
| Class B<br>Common<br>Stock | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 862                                 |
| Class B<br>Common<br>Stock | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 454                                 |
| Class B<br>Common          | <u>(5)</u> |      |   |     |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common          | 1,553                               |

Stock Stock

| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | (5)        | Class A<br>Common<br>Stock | 645   |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 1,405 |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 563   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Lynne T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

#### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (3) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.

Reporting Owners 6

- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.