UMB FINANCIAL CORP

Form 4 May 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number: Expires:

January 31,

2005

0.5

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may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Desponses)

	(Print or Type	Responses)							
1. Name and Address of Reporting Person ** KEMPER J MARINER			Symbol		nd Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(Last)	(First) (Middle) 3. Date	of Earliest	Transaction	(Check all applicable)			
1010 GRAND BLVD.			(Month 05/02/	/Day/Year) /2017		X Director X Officer (given below)		` 1	
		(Street)	4. If Ar	nendment,	Date Original	6. Individual or Joint/Group Filing(Check			
	KANSAS	CITY, MO 64106	`	Ionth/Day/Y	ear)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip) Ta	ble I - Nor	-Derivative Securities Ac	equired, Disposed	of, or Benefic	cially Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

		1 4	ole 1 - Moll	-Derivativ	e sec	urines Ac	quireu, Disposeu (oi, or belieffe	iany Owneu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	(Instr. 8)	(A)			Owned Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(IIIsu. 4)	
Common Stock	05/02/2017		S	300	D	\$ 72.69	189,208.4489	D	
Common Stock	05/02/2017		S	200	D	\$ 72.66	189,008.4489	D	
Common Stock	05/02/2017		S	100	D	\$ 72.65	188,908.4489	D	
Common Stock	05/02/2017		S	500	D	\$ 72.57	188,408.4489	D	
Common Stock	05/02/2017		S	600	D	\$ 72.6	187,808.4489	D	

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Common Stock	05/02/2017	S	1,030	D	\$ 72.62	186,778.4489	D			
Common Stock	05/02/2017	S	405	D	\$ 72.64	186,373.4489	D			
Common Stock						1,000	I	By Daughter - Custodial Brokerage Account		
Common Stock						1,902.068	I	By Esop		
Common Stock						1,000	I	By Son - Custodial Brokerage Account		
Common Stock						60,800	I	By Trust - TUW RC Kemper For John Mariner		
Common Stock						290,397	I	Held by Kemper Realty		
Common Stock						395,989	I	Held by Pioneer Service Corporation		
Common Stock						2,161,386	I	By Trust - RC Kemper Irrevocable Trust		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474										
Persons who respond to the collection of SEC 1474										

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo

number.

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(9-02)

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Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable

Date

Expiration Title Amount or

Trans

(Insti

Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
KEMPER J MARINER							
1010 GRAND BLVD.	X		Chairman and CEO				
KANSAS CITY MO 64106							

Signatures

/s/ John C. Pauls, Attorney-in-fact for Mr. Kemper

05/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3