**Unum Group** Form 4 November 17, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* McGarry John F

(Last) (First) (Middle)

1 FOUNTAIN SQUARE

(Street)

CHATTANOOGA, TN 37402

2. Issuer Name	and	Ticker	or	Trading
Symbol				

Unum Group [UNM]

3. Date of Earliest Transaction (Month/Day/Year) 11/15/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

#### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X\_ Officer (give title \_ Other (specify below) EVP and CFO 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Table	e I - Non-D	Perivative Securities Acqu	uired, Disposed of	, or Beneficial	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		
				(A)	T		

		Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(IIISU
Common Stock	11/15/2016	M	5,520	A	\$ 26.29	67,445 <u>(1)</u>	D
Common Stock	11/15/2016	S	5,520	D	\$ 41.14	61,925 (2)	D
Common Stock	11/15/2016	M	6,613	A	\$ 23.35	68,538 <u>(3)</u>	D
Common Stock	11/15/2016	S	6,613	D	\$ 41.14	61,925 (2)	D
Common Stock	11/15/2016	M	4,850	A	\$ 24.25	66,775 (4)	D

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Common Stock	11/15/2016	S	4,850	D	\$ 41.14	61,925 (2)	D	
Common Stock						3,037.8	I	By 401(k) Plan
Common Stock						805.23	I	By N-Q 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acq (A) Disp (D)	or cosed of cr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 26.29	11/15/2016		M		5,520	<u>(5)</u>	02/22/2019	Common Stock	5,520
Employee Stock Option (right to buy)	\$ 23.35	11/15/2016		M		6,613	<u>(6)</u>	02/21/2020	Common Stock	6,613
Employee Stock Option (right to buy)	\$ 24.25	11/15/2016		M		4,850	<u>(7)</u>	02/20/2021	Common Stock	4,850

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McGarry John F

1 FOUNTAIN SQUARE EVP and CFO

**Signatures** 

/s/ Jullienne, J. Paul, Attorney-in-Fact

11/17/2016

\*\*Signature of Reporting Person

CHATTANOOGA, TN 37402

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 26,176 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 41,269 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (2) Includes 26,176 stock-settled RSUs and 35,749 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (3) Includes 26,176 stock-settled RSUs and 42,362 shares of common stock. Fractional amounts have been rounded to the nearest whole number
- (4) Includes 26,176 stock-settled RSUs and 40,599 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (5) The options vest in three equal annual installments beginning on February 22, 2012.
- (6) The options vest in three equal annual installments beginning on February 21, 2013.
- (7) The options vest in three equal annual installments beginning on February 20, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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