### Edgar Filing: UMB FINANCIAL CORP - Form 4

UMB FINAN	NCIAL CORP											
Form 4												
February 08,	2016											
FORM	14							~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~		PPROVAL		
	<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549						OMB Number:	3235-0287				
Check thi				<b>U</b> .					Expires:	January 31,		
if no long subject to		MENT O	F CHAN	GES IN H	BENEFI	CIA	L OW	<b>NERSHIP OF</b>	·	2003		
	Section 16. SE				ECURITIES					Estimated average burden hours per		
Form 4 o	r								response	•		
Form 5 obligation	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,											
may cont				•	•	- ·		f 1935 or Sectio	on			
See Instru		30(h)	) of the In	vestment	Company	y Act	of 19	40				
1(b).												
(Print or Type F	Responses)											
1 Name and A	ddress of Reportin	g Person *	2 1	Name and	T: -1	<b>F</b>	_	5. Relationship o	f Reporting Per	son(s) to		
1. Name and Address of Reporting Person * Johnson Shannon Andresen2. Issuer Symbol				r Name and Ticker or Trading				Issuer				
Symbol			-	FINANCIAL CORP [UMBF]								
(Last)	(First)	(Middle)		Earliest Tra		[	1	(Che	ck all applicable	e)		
(Eust)	(1150)	(maale)	(Month/D		uisaction			Director	109	6 Owner		
1010 GRAN	ID BLVD.		02/05/20	-				Officer (giv		er (specify		
								below) EVP/	below) Chief HR Offic	er		
	(Street)		4 If Ame	ndment, Dat	o Original			6. Individual or J	oint/Group Fili	ng(Chaok		
	(blied)			th/Day/Year)	-			Applicable Line)	onit/Oroup 1 m	ng(Check		
			T nea(mon	un Duy Tour)				_X_ Form filed by				
KANSAS C	CITY, MO 6410	6						Form filed by Person	More than One R	eporting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction D			3.	4. Securi			5. Amount of	6. Ownership			
Security	(Month/Day/Yea		on Date, if	Transactio				Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month	/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,			Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(iviolitii	(Duj) 10ul)	(111541: 0)	(11501.5,	i una	5)	Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported				
						or		Transaction(s) (Instr. 3 and 4)				
C				Code V	Amount	(D)	Price	(instr. 5 und 1)				
Common Stock	02/05/2016			А	1,848	А	\$0	7,026.4963 (1)	D			
Stock												
Common Stock								173.9213 (2)	I	By ESOP		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 47.68	02/05/2016		А	2,967	02/05/2018 <u>(3)</u>	02/05/2026	Common Stock	2,967

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Johnson Shannon Andresen 1010 GRAND BLVD. KANSAS CITY, MO 64106			EVP/Chief HR Officer				
Signatures							
/s/ John C. Pauls, Attorney-in-J Johnson	ls.	02/08/2016					

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes shares acquired through the reinvestment of dividends on restricted stock
- (2) Reflects ESOP allocations and dispositions that have occurred since the date of the reporting person's last ownership report
- (3) Options will vest 50% on 2/5/2018, 75% on 2/5/2019, and 100% on 2/5/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.