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CABOT MICROELECTRONICS CORP

Form 3

January 14, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CABOT MICROELECTRONICS CORP [CCMP] Hui Richard (Month/Day/Year) 01/05/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CABOT (Check all applicable) **MICROELECTRONICS** CORPORATION. 870 N. 10% Owner Director **COMMONS DRIVE** _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group VP, Global Sales Filing(Check Applicable Line) _X_ Form filed by One Reporting Person AURORA, ILÂ 60504 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

6. Nature of Indirect 1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 5. 4. Securities Underlying Ownership Beneficial Security **Expiration Date** Conversion (Instr. 4) (Month/Day/Year) or Exercise Form of Ownership Derivative Security (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	12/03/2015	12/03/2018(1)	Common Stock	1,688	\$ 46.65	D	Â
Phantom Stock	12/03/2015	12/03/2018(2)	Common Stock	624	\$ 0	D	Â
Phantom Stock	12/03/2014	12/03/2017(3)	Common Stock	1,074	\$ 44.1	D	Â
Phantom Stock	12/03/2014	12/03/2017(4)	Common Stock	396	\$ 0	D	Â
Phantom Stock	12/03/2013	12/03/2016(5)	Common Stock	606	\$ 32.64	D	Â
Phantom Stock	12/03/2013	12/03/2016(6)	Common Stock	202	\$ 0	D	Â
Phantom Stock	12/01/2012	12/01/2015(7)	Common Stock	230	\$ 27.94	D	Â
Phantom Stock	12/01/2013	12/01/2016(8)	Common Stock	77	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Hui Richard C/O CABOT MICROELECTRONICS CORPORATION 870 N. COMMONS DRIVE AURORA, IL 60504	Â	Â	VP, Global Sales	Â	

Signatures

H. Carol Bernstein (Power of Attorney) 01/13/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

All Restricted Covered Appreciation Rights entitle the holder to earn cash payments equal to the sum of: (1) the number of Covered Company Shares (per Vesting Date) multiplied by the difference between the trading price of one share of common stock ("Stock") of Cabot Microelectronics Corporation (the "Company") and the applicable conversion price. The original vesting schedule for these Covered Company Shares is 25% quarterly per year beginning on the first anniversary of grant date 12/3/14. As soon as reasonably

(1) practicable following the applicable Vesting Date, the holder shall receive a cash payment equal to the sum of: (a) the number of vested Covered Company Shares multiplied by (b) the difference (if any) between (i) the closing price of one share of the Company's Stock as reflected on the National Association of Securities Dealers Automated Quotations ("NASDAQ") national stock exchange on the applicable Vesting Date (or if the NASDAQ national stock exchange is closed on the applicable Vesting Date, the first subsequent trading day), and (ii) the applicable conversion price. The reporting person is filing this report on Form 3 for disclosure purposes only.

Reporting Owners 2

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All Restricted Covered Units entitle the holder to earn cash payments equal to the number of Restricted Covered Units (per Vesting Date) multiplied by the trading price of one share of Stock of the Company. The original vesting schedule for these Restricted Covered Units is 25% quarterly per year beginning on the first anniversary of grant date 12/3/14. As soon as reasonably practicable following the

- (2) applicable Vesting Date, the holder shall receive a cash payment equal to the number of vested Restricted Covered Units multiplied by the closing price of one share of the Company's Stock as reflected on the NASDAQ on the applicable Vesting Date (or if the NASDAQ national stock exchange is closed on the applicable Vesting Date, the first subsequent trading day). The reporting person is filing this report on Form 3 for disclosure purposes only.
- (3) The original vesting schedule for these Covered Company Shares is 25% quarterly per year beginning on the first anniversary of grant date 12/3/13.
- (4) The original vesting schedule for these Restricted Covered Units is 25% quarterly per year beginning on the first anniversary of grant date 12/3/13.
- (5) The original vesting schedule for these Covered Company Shares is 25% quarterly per year beginning on the first anniversary of grant date 12/3/12.
- The original vesting schedule for these Restricted Covered Units is 25% quarterly per year beginning on the first anniversary of grant date 12/3/12.
- (7) The original vesting schedule for these Covered Company Shares is 25% quarterly per year beginning on the first anniversary of grant date 12/1/11.
- (8) The original vesting schedule for these Restricted Covered Units is 25% quarterly per year beginning on the first anniversary of grant date 12/1/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.