COMSTOCK RESOURCES INC Form SC 13D/A January 03, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13D**

Under the Securities Exchange Act of 1934 (Amendment No. 10)\*

# Comstock Resources, Inc.

(Name of Issuer)

Common Stock, par value \$0.50 per share

(Title of Class of Securities)

205768203

(CUSIP Number)

Carl H. Westcott

100 Crescent Court, Suite 1620

Dallas, TX 75201

214-777-5003

with a copy to:

**Crews Lott** 

Baker & McKenzie LLP

2300 Trammell Crow Center

2001 Ross Avenue

Dallas, TX 75201

214-978-3000

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

#### December 29, 2016

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box o.

**Note**: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

13D

Page 2 of 13 Pages 1. Names of Reporting Persons. I.R.S. Identification Nos. of Above Persons (Entities Only). Carl H. Westcott 2. Check the Appropriate Box if a Member of a Group (b) o 3. SEC Use Only 4. Source of Funds PF 5. Check Box if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e) o 6. Citizenship or Place of Organization United States of America 7. Sole Voting Power 916,000(1) Number of Shares Shared Voting Power Beneficially 354,950(1) Owned by Each 9. Sole Dispositive Power Reporting 916,000 (1) Person With: 10. Shared Dispositive Power 398,750 (1) (2) 11. Aggregate Amount Beneficially Owned by Each Reporting Person 1,314,750 (1) (2) 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares o 13. Percent of Class Represented by Amount in Row (11) 9.77% (3) 14. Type of Reporting Person

Carl H. Westcott directly holds 916,000 shares of common stock, par value \$0.50 per share (the <u>Common Stock</u>), of Comstock (1) Resources, Inc., a Nevada corporation (the Issuer ). Additionally, Mr. Westcott exercises shared voting and disposition power over 354,950 shares of Common Stock with Court H. Westcott as managers of Carl Westcott, LLC, the general partner of each of Commodore Partners, Ltd. (Commodore Partners), which directly owns 334,950 shares of Common Stock, and G.K. Westcott LP (<u>GK Westco</u>tt), which directly owns 20,000 shares of Common Stock.

(3)

CUSIP No. 205768203

<sup>(2)</sup> Carl H. Westcott has shared discretionary authority to purchase and dispose of shares of Common Stock under various accounts for the benefit of the following persons, who directly hold the following amounts of shares of Common Stock: Court H. Westcott, 10,000 shares; Carla Westcott, 19,500 shares; Peter Underwood, 11,250 shares; Francisco Trejo, Jr., 2,050 shares; and Rosie Greene, 1,000 shares. Carl H. Westcott does not exercise any voting power over any such shares of Common Stock owned by the aforementioned individuals and expressly disclaims beneficial ownership of such shares.

The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

CUSIP No.	205768	203		13D	Page 3 of 13 Pages	
1.		Names of Reporting I I.R.S. Identification N Commodore Partners	Nos. of Above Persons (Entities Only)	).		
		03-0476201				
2.		Check the Appropriate Box if a Member of a Group (a) o (b) o				
3.		SEC Use Only				
4.		Source of Funds WC				
5.		Check Box if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e) o				
6.		Citizenship or Place of Organization Texas				
Number of		7.	Sole Voting Power 334,950 (1)			
Shares Beneficially Owned by	7	8.	Shared Voting Power 0			
Each Reporting Person With		9.	Sole Dispositive Power 334,950 (1)			
Person with	1:	10.	Shared Dispositive Power 0			
11	1.	Aggregate Amount Beneficially Owned by Each Reporting Person 334,950 (1)				
12	2.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares o				
13	3.	Percent of Class Represented by Amount in Row (11) 2.49% (2)				
14	4.	Type of Reporting Pe PN	rson			
(1)		Carl H. Westcott and C	Court H. Westcott exercise shared vot	ing and disposition power over	the 334,950 shares of Comm	

<sup>(1)</sup> Carl H. Westcott and Court H. Westcott exercise shared voting and disposition power over the 334,950 shares of Common Stock as the managers of Carl Westcott, LLC, the general partner of Commodore Partners.

<sup>(2)</sup> The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

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CUSIP No. 205768203

1.	Names of Reporting I.R.S. Identification I G.K. Westcott LP	Persons. Nos. of Above Persons (Entities Only).		
	20-2443941			
2.	Check the Appropria (a) (b)	te Box if a Member of a Group o o		
3.	SEC Use Only			
4.	Source of Funds WC			
5.	Check Box if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e) o			
6.	Citizenship or Place of Texas	of Organization		
Number of	7.	Sole Voting Power 20,000 (1)		
Shares Beneficially Owned by	8.	Shared Voting Power 0		
Each Reporting Person With:	9.	Sole Dispositive Power 20,000 (1)		
reison with.	10.	Shared Dispositive Power 0		
11.	Aggregate Amount E 20,000 (1)	Beneficially Owned by Each Reporting Person		
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares o			
13.	Percent of Class Represented by Amount in Row (11) 0.15% (2)			
14.	Type of Reporting Pe PN	erson		
(1)		Court H. Westcott exercise shared voting and disposition power over the 20,000 shares of Commo		

on Stock as the managers of Carl Westcott, LLC, the general partner of GK Westcott.

The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

CUSIP No. 2057	68203		13D	Page 5 of 13 Pages
1.	Names of Reporting	Persons.		
	I.R.S. Identification Carl Westcott, LLC	Nos. of Above Persons (Entities Only).		
	75-2655092			
2.	Check the Appropria (a) (b)	ate Box if a Member of a Group o o		
3.	SEC Use Only			
4.	Source of Funds AF			
5.	Check Box if Disclo	sure of Legal Proceedings Is Required Pursu	uant to Item 2(d) or 2(e) o	
6.	6. Citizenship or Place of Organization Texas			
	7.	Sole Voting Power 354,950 (1)		
Number of Shares Beneficially Owned by	8.	Shared Voting Power 0		
Each Reporting	9.	Sole Dispositive Power 354,950 (1)		
Person With:	10.	Shared Dispositive Power 0		
11.	Aggregate Amount Beneficially Owned by Each Reporting Person 354,950 (1)			
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares o			
13.	Percent of Class Represented by Amount in Row (11) 2.64% (2)			
14.	Type of Reporting P HC	erson		
(1)	Carl H Westcott and	l Court H. Westcott exercise shared voting a	nd disposition power over th	e 354 950 shares of Com

<sup>(1)</sup> Carl H. Westcott and Court H. Westcott exercise shared voting and disposition power over the 354,950 shares of Common Stock as the managers of Carl Westcott, LLC, the general partner of each of Commodore Partners, which directly owns 334,950 shares of Common Stock, and GK Westcott, which directly owns 20,000 shares of Common Stock.

<sup>(2)</sup> The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

CUSIP No. 2057	68203		13D	Page 6 of 13 Pages		
1.	Names of Reporting Persons.  I.R.S. Identification Nos. of Above Persons (Entities Only).					
	Court H. Westcott					
2.	Check the Appropri (a) (b)	ate Box if a Member of a Group o o				
3.	SEC Use Only					
4.	Source of Funds PF					
5.	Check Box if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e) o					
6.	Citizenship or Place of Organization United States of America					
Number of Shares Beneficially Owned by Each Reporting Person With:	7. 8. 9.	Sole Voting Power 10,000 (1)  Shared Voting Power 354,950 (2)  Sole Dispositive Power 0 (1)  Shared Dispositive Power				
11.		364,950 (1) (2) Beneficially Owned by Each Reporting Pe	erson			
12.	364,950 (1) (2)					
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares o					
13.	Percent of Class Represented by Amount in Row (11) 2.71% (3)					
14.	Type of Reporting IN	Person				
(1)	C	.h f C	Court II Woods 44 Tourness of	ah - C II W II :		

<sup>(1)</sup> Consists of 10,000 shares of Common Stock held of record by Court H. Westcott, Trustee of the Court H. Westcott Living Trust. Carl H. Westcott has shared discretionary authority to purchase and dispose of those shares of Common Stock for the benefit of such reporting person, but does not exercise any voting power with respect to such shares. Carl H. Westcott expressly disclaims beneficial ownership of any such shares of Common Stock.

<sup>(2)</sup> Carl H. Westcott and Court H. Westcott exercise shared voting and disposition power over 354,950 shares of Common Stock as the managers of Carl Westcott, LLC, the general partner of each of Commodore Partners, which directly owns 334,950 shares of Common Stock, and GK Westcott, which directly owns 20,000 shares of Common Stock.

(3) The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

CUSIP No. 2057	768203		13D	Page 7 of 13 Pages	
1.	Names of Reporting I.R.S. Identification	Persons. Nos. of Above Persons (Entities Only).			
	Carla Westcott				
2.	Check the Appropriate Box if a Member of a Group (a) o (b) o				
3.	SEC Use Only				
4.	Source of Funds PF				
5.	Check Box if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e) o				
6.	Citizenship or Place of Organization United States of America				
	7.	Sole Voting Power 19,500 (1)			
Number of Shares Beneficially Owned by	8.	Shared Voting Power 0 (1)			
Each Reporting Person With:	9.	Sole Dispositive Power 0 (1)			
	10.	Shared Dispositive Power 19,500 (1)			
11.	Aggregate Amount Beneficially Owned by Each Reporting Person 19,500 (1)				
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares o				
13.	Percent of Class Represented by Amount in Row (11) 0.14% (2)				
14.	Type of Reporting F IN	erson			
(1)	Carla Westcott direc	ctly holds 19,500 shares of Common Stock	. Carl H. Westcott has shared	discretionary authority to	

<sup>(1)</sup> Carla Westcott directly holds 19,500 shares of Common Stock. Carl H. Westcott has shared discretionary authority to purchase and dispose of those shares of Common Stock for the benefit of such reporting person, but does not exercise any voting power with respect to such shares. Carl H. Westcott expressly disclaims beneficial ownership of any such shares of Common Stock.

<sup>(2)</sup> The percentage ownership is based on 13,455,559 shares of Common Stock outstanding, as reported by the Issuer in its quarterly report on Form 10-Q filed on November 9, 2016. The number of shares beneficially owned also reflects a 1-for-5 reverse stock split effected by the Issuer on August 1, 2016.

#### Item 1. Security and Issuer

This Amendment No. 10 to Schedule 13D (<u>Amendment No. 10</u>) amends and supplements the original Schedule 13D filed on June 11, 2015 (as amended by Amendment No. 1, Amendment No. 2, Amendment No. 3, Amendment No. 4, Amendment No. 5, and Amendment No. 6, the <u>Schedule 13D</u>), Amendment No. 1 to Schedule 13D filed on June 30, 2015 (<u>Amendment No. 1</u>), Amendment No. 2 to Schedule 13D filed on July 6, 2015 (<u>Amendment No. 2</u>), Amendment No. 3 to Schedule 13D filed on July 20, 2015 (<u>Amendment No. 3</u>), Amendment No. 4 to Schedule 13D filed on August 10, 2015 (<u>Amendment No. 4</u>), Amendment No. 5 to Schedule 13D filed on May 25, 2016 (<u>Amendment No. 5</u>), Amendment No. 6 to Schedule 13D filed on September 6, 2016 (<u>Amendment No. 6</u>), Amendment No. 7 to Schedule 13D filed on September 21, 2016 (<u>Amendment No. 7</u>), Amendment No. 8 to Schedule 13D filed on December 5, 2016 (<u>Amendment No. 8</u>) and Amendment No. 9 to Schedule 13D filed on December 16, 2016 (<u>Amendment No. 9</u>). This Amendment No. 10 is filed jointly on behalf of Carl H. Westcott, Commodore Partners, Ltd., G.K. Westcott LP, Carl Westcott, LLC, Court H. Westcott, and Carla Westcott (the <u>Reporting Persons</u>) relating to their beneficial ownership of the common stock, par value \$0.50 per share (the <u>Common Stock</u>) of Comstock Resources, Inc., a Nevada corporation (the <u>Issuer</u>), whose principal executive offices are located at 5300 Town and Country Blvd., Suite 500, Frisco, Texas 75034. On August 1, 2016, the Issuer effected a 1-for-5 reverse stock split (the <u>Reverse Split</u>).

This Amendment No. 10 is filed pursuant to Rules 13d-1 and 13d-5 under the Securities Exchange Act of 1934, as amended, to reflect a change aggregating more than one percent (1%) in the beneficial ownership of the outstanding Common Stock in which Carl H. Westcott may be deemed to have a beneficial interest. Unless otherwise indicated, all capitalized terms used herein but not defined shall have the same meanings as set forth in the Schedule 13D.

#### Item 2. Identity and Background

#### Item 3. Source and Amount of Funds or Other Consideration

The information set forth or incorporated in Items 4 and 6 hereof are incorporated herein by reference.

With respect to each Reporting Person that is a natural person, the shares of Common Stock were acquired with the personal funds of such Reporting Person. The shares of Common Stock held by Commodore Partners, of which Carl Westcott, LLC is the sole general partner, were acquired with funds held by Commodore Partners for the general purpose of investing. The shares of Common Stock held by GK Westcott, of which Carl Westcott, LLC is the sole general partner, were acquired with funds held by GK Westcott for the general purpose of investing.

### Item 4. Purpose of Transaction

This Item is being amended and supplemented to add the following:

The information set forth or incorporated in Items 3 and 6 hereof are incorporated herein by reference.

After accounting for all purchases of Common Stock of the Reporting Persons since the filing of Amendment No. 9 (the period of December 16, 2016 through December 30, 2016), a net 178,512 shares of Common Stock were purchased by Carl H. Westcott during such period on his own behalf and on behalf of certain other Reporting Persons for an aggregate price of approximately \$1,749,110.

#### Item 5. Interest in Securities of the Issuer.

This Item is being amended and supplemented to add the following:

(b) The responses in rows 7, 8, 9 and 10 of the cover pages of this Statement are hereby incorporated by reference. Each of Court H. Westcott and Carla Westcott directly holds 10,000 and 19,500 shares of Common Stock, respectively, over which Carl H. Westcott shares dispositive power, but not voting power, pursuant to trading authorizations. Additionally, Carl H. Westcott shares dispositive power, but not voting power, pursuant to trading authorizations, of 11,250, 2,050, and 1,000 shares of Common Stock held by Peter Underwood, Francisco Trejo, Jr., and Rosie Greene, respectively. Commodore Partners holds 334,950 shares of Common Stock, over which Carl H. Westcott holds shared voting and dispositive power with Court H. Westcott as the managers of Carl Westcott, LLC, the sole general partner of Common Stock, over which Carl H. Westcott as the managers of Carl Westcott, LLC, the sole general partner of GK Westcott.

(c) After accounting for all purchases of Common Stock of the Reporting Persons during the period of December 16, 2016 through December 30, 2016, a net 178,512 shares of Common Stock were purchased by Carl H. Westcott on his own behalf and on behalf of the other Reporting Persons for an aggregate price of approximately \$1,749,110. All such sales were made on the dates and at the prices set forth below. All purchases and sales listed below were affected in ordinary open market transactions.

	Trade		
Name of Reporting Person	Date	Number of Shares Purchased (Sold)	Price Per Share (\$)
Carl H. Westcott	12/16/2016	900	10.17
Carl H. Westcott	12/16/2016	100	10.15
Carl H. Westcott	12/16/2016	2,500	10.14
Carl H. Westcott	12/16/2016	1,500	10.09
Carl H. Westcott	12/16/2016	1,500	10.06
Carl H. Westcott	12/16/2016	2,500	10.05
Carl H. Westcott	12/16/2016	2,400	9.97
Carl H. Westcott	12/16/2016	100	9.96
Carl H. Westcott	12/16/2016	2,500	10.01
Carl H. Westcott	12/16/2016	(200)	10.03
Carl H. Westcott	12/16/2016	2,500	10.00
Carl H. Westcott	12/16/2016	2,500	9.92
Carl H. Westcott	12/16/2016	2,500	9.92
Carl H. Westcott	12/16/2016	5,000	9.89
Carl H. Westcott	12/16/2016	5,000	9.85
Carl H. Westcott	12/16/2016	6,800	9.84
Carl H. Westcott	12/16/2016	600	9.83
Carl H. Westcott	12/16/2016	1,600	9.82
Carl H. Westcott	12/16/2016	600	9.81
Carl H. Westcott	12/16/2016	200	9.80
Carl H. Westcott	12/16/2016	200	9.79
Carl H. Westcott	12/16/2016	3,700	9.89
Carl H. Westcott	12/16/2016	10,000	9.84
Carl H. Westcott	12/16/2016	(4,900)	9.82
Carl H. Westcott	12/16/2016	(100)	9.82
Carl H. Westcott	12/16/2016	(500)	9.62
Carl H. Westcott	12/16/2016	(9,500)	9.62
Carl H. Westcott	12/16/2016	1,000	9.63
Carl H. Westcott	12/16/2016	4,000	9.60
Carl H. Westcott	12/16/2016	10,000	9.58
Carl H. Westcott	12/19/2016	5,000	9.60
Carl H. Westcott	12/19/2016	100	9.57
Carl H. Westcott	12/20/2016	100	10.06
Carl H. Westcott	12/20/2016	900	10.00
Carl H. Westcott	12/20/2016	500	10.00
Carl H. Westcott	12/20/2016	500	9.94
Carl H. Westcott	12/20/2016	2,000	9.91
Carl H. Westcott	12/20/2016	100	10.05
Carl H. Westcott	12/20/2016	300	9.97
Carl H. Westcott	12/20/2016	4,600	9.96
Carl H. Westcott	12/20/2016	4,800	9.98
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	

N. AD. W. D.	Trade	N I COL D I LOUD	P · P · Cl · (d)
Name of Reporting Person Carl H. Westcott	Date 12/20/2016	Number of Shares Purchased (Sold) 100	Price Per Share (\$) 9.97
Carl H. Westcott	12/20/2016	100	9.97
Carl H. Westcott	12/20/2016	2,500	9.97
Carl H. Westcott	12/20/2016	2,500	9.93
Carl H. Westcott	12/20/2016	300	9.79
Carl H. Westcott	12/20/2016	500	9.77
Carl H. Westcott	12/20/2016	1,700	9.77
Carl H. Westcott	12/20/2016	2,500	9.80
Carl H. Westcott	12/20/2016	2,500	9.80
Carl H. Westcott	12/20/2016	2,500	9.82
		· · · · · · · · · · · · · · · · · · ·	9.80
Carl H. Westcott Carl H. Westcott	12/20/2016	2,800 200	9.80
Carl H. Westcott	12/20/2016		9.80
Carl H. Westcott	12/20/2016	2,000 (2,000)	
	12/21/2016		10.03
Carl H. Westcott	12/21/2016	(2,500)	10.05
Carl H. Westcott	12/21/2016	2,000	9.91
Carl H. Westcott	12/21/2016	2,000	9.75
Carl H. Westcott	12/21/2016	206	9.66
Carl H. Westcott	12/21/2016	100	9.63
Carl H. Westcott	12/21/2016	694	9.66
Carl H. Westcott	12/21/2016	1,000	9.64
Carl H. Westcott	12/21/2016	5,000	9.60
Carl H. Westcott	12/22/2016	1,000	9.74
Carl H. Westcott	12/22/2016	1,000	9.74
Carl H. Westcott	12/22/2016	1,000	9.74
Carl H. Westcott	12/22/2016	1,000	9.72
Carl H. Westcott	12/22/2016	400	9.77
Carl H. Westcott	12/23/2016	300	9.88
Carl H. Westcott	12/23/2016	200	9.84
Carl H. Westcott	12/23/2016	500	9.91
Carl H. Westcott	12/23/2016	300	9.94
Carl H. Westcott	12/23/2016	100	10.01
Carl H. Westcott	12/23/2016	(2,500)	10.00
Carl H. Westcott	12/23/2016	(2,500)	10.05
Carl H. Westcott	12/23/2016	500	10.07
Carl H. Westcott	12/23/2016	(1,400)	10.07
Carl H. Westcott	12/23/2016	(500)	10.08
Carl H. Westcott	12/23/2016	500	9.90
Carl H. Westcott	12/23/2016	1,000	9.88
Carl H. Westcott	12/23/2016	2,000	9.89
Carl H. Westcott	12/23/2016	3,000	9.89
Carl H. Westcott	12/23/2016	100	9.94
Carl H. Westcott	12/23/2016	100	9.85
Carl H. Westcott	12/23/2016	1,000	9.88

	Trade		
Name of Reporting Person	Date	Number of Shares Purchased (Sold)	Price Per Share (\$)
Carl H. Westcott	12/23/2016	1,000	9.88
Carl H. Westcott	12/23/2016	900	9.87
Carl H. Westcott	12/23/2016	100	9.86
Carl H. Westcott	12/23/2016	300	9.90
Carl H. Westcott	12/27/2016	1,000	9.98
Carl H. Westcott	12/28/2016	2,500	10.12
Carl H. Westcott	12/28/2016	1,000	10.11
Carl H. Westcott	12/28/2016	2,500	10.04
Carl H. Westcott	12/28/2016	2,500	10.04
Carl H. Westcott	12/29/2016	1,000	10.32
Carl H. Westcott	12/29/2016	2,500	9.90
Carl H. Westcott	12/29/2016	5,000	9.90
Carl H. Westcott	12/29/2016	5,000	9.90
Carl H. Westcott	12/29/2016	12,000	9.88
Carl H. Westcott	12/29/2016	5,000	9.68
Carl H. Westcott	12/29/2016	5,000	9.67
Carl H. Westcott	12/29/2016	5,000	9.67
Carl H. Westcott	12/29/2016	200	9.79
Carl H. Westcott	12/29/2016	400	9.82
Carl H. Westcott	12/29/2016	100	9.79
Carl H. Westcott	12/30/2016	290	9.97
Carl H. Westcott	12/30/2016	160	9.95
Carl H. Westcott	12/30/2016	300	9.95
Carl H. Westcott	12/30/2016	250	9.95
Carl H. Westcott	12/30/2016	122	10.01
Carl H. Westcott	12/30/2016	182	9.96
Carl H. Westcott	12/30/2016	298	9.95
Carl H. Westcott	12/30/2016	318	9.98
Carl H. Westcott	12/30/2016	50	9.95
Carl H. Westcott	12/30/2016	30	9.95
Carl H. Westcott	12/30/2016	300	9.88
Carl H. Westcott	12/30/2016	500	9.95
Carl H. Westcott	12/30/2016	1,500	9.91
Carl H. Westcott	12/30/2016	(5,000)	9.95
Carl H. Westcott	12/30/2016	(4,045)	10.01
Carl H. Westcott	12/30/2016	(955)	10.00
Carl H. Westcott	12/30/2016	(300)	10.10
Carl H. Westcott	12/30/2016	(100)	10.10
Carl H. Westcott	12/30/2016	(900)	10.10
Carl H. Westcott	12/30/2016	(100)	10.10
Carl H. Westcott	12/30/2016	(100)	10.10
Carl H. Westcott	12/30/2016	(400)	10.08
Carl H. Westcott	12/30/2016	(2,700)	10.10
Carl H. Westcott	12/30/2016	(400)	10.10

N. CD. C. D.	Trade	N I COL D I LOCAL	D: D CL (d)
Name of Reporting Person Carl H. Westcott	Date 12/30/2016	Number of Shares Purchased (Sold) (1,800)	Price Per Share (\$) 10.10
Carl H. Westcott	12/30/2016	(2,600)	10.10
Carl H. Westcott	12/30/2016	(50)	10.10
Carl H. Westcott	12/30/2016	(100)	10.10
Carl H. Westcott	12/30/2016	(50)	10.10
Carl H. Westcott	12/30/2016	(200)	10.10
Carl H. Westcott	12/30/2016	(50)	10.10
Carl H. Westcott	12/30/2016	(150)	10.05
Carl H. Westcott	12/30/2016	1,000	9.99
Carl H. Westcott	12/30/2016	100	10.07
Carl H. Westcott	12/30/2016	800	10.00
Carl H. Westcott	12/30/2016	100	10.00
Carl H. Westcott	12/30/2016	5,000	9.97
Carl H. Westcott	12/30/2016	100	9.95
Carl H. Westcott	12/30/2016	200	9.88
Carl H. Westcott	12/30/2016	400	9.88
Carl H. Westcott	12/30/2016	300	9.88
Carl H. Westcott	12/30/2016	200	9.92
Carl H. Westcott	12/30/2016	100	9.88
Carl H. Westcott	12/30/2016	100	9.88
Carl H. Westcott	12/30/2016	100	9.88
Carl H. Westcott	12/30/2016	400	9.88
Carl H. Westcott	12/30/2016	300	9.88
Carl H. Westcott	12/30/2016	100	9.88
Carl H. Westcott	12/30/2016	700	9.88
Carl H. Westcott	12/30/2016	2,000	9.84
Carl H. Westcott	12/30/2016	1,000	9.85
Carl H. Westcott	12/30/2016	1,000	9.82
Carl H. Westcott	12/30/2016	100	9.89
Carl H. Westcott	12/30/2016	100	9.82
Carl H. Westcott	12/30/2016	500	9.82
Carl H. Westcott	12/30/2016	1,000	9.86
Carl H. Westcott	12/30/2016	200	9.90
Carl H. Westcott	12/30/2016	100	9.86
Commodore Partners	12/23/2016	(15,000)	9.97
Commodore Partners	12/23/2016	(1,500)	10.02
Commodore Partners	12/23/2016	(15,000)	10.07
Commodore Partners	12/23/2016	(1,500)	10.10
Commodore Partners	12/27/2016	(1,500)	10.12
Commodore Partners	12/28/2016	(1,500)	10.50
Commodore Partners	12/28/2016	(2,500)	10.62
Commodore Partners	12/28/2016	5,000	10.08
Commodore Partners	12/28/2016	5,000	10.06
Commodore Partners	12/29/2016	4,462	10.05

	Trade		
Name of Reporting Person	Date	Number of Shares Purchased (Sold)	Price Per Share (\$)
Commodore Partners	12/29/2016	5,000	10.00
Commodore Partners	12/29/2016	5,100	9.83
Commodore Partners	12/30/2016	5,000	9.86
Commodore Partners	12/30/2016	(50)	9.98
Commodore Partners	12/30/2016	50	10.04
Commodore Partners	12/30/2016	300	9.90
Commodore Partners	12/30/2016	200	9.90
Commodore Partners	12/30/2016	50	9.90
Commodore Partners	12/30/2016	100	9.90
Commodore Partners	12/30/2016	300	9.90
Commodore Partners	12/30/2016	88	9.92
Commodore Partners	12/30/2016	37	9.84
Commodore Partners	12/30/2016	63	9.84
Commodore Partners	12/30/2016	100	9.84
Commodore Partners	12/30/2016	1,051	9.84
Commodore Partners	12/30/2016	100	9.84
Commodore Partners	12/30/2016	561	9.84
Commodore Partners	12/30/2016	1,400	9.82
Commodore Partners	12/30/2016	600	9.82
Commodore Partners	12/30/2016	5,000	9.82
Commodore Partners	12/30/2016	400	9.83
Commodore Partners	12/30/2016	400	9.81
Commodore Partners	12/30/2016	800	9.81
Commodore Partners	12/30/2016	100	9.81
Commodore Partners	12/30/2016	100	9.81
Commodore Partners	12/30/2016	100	9.82
Commodore Partners	12/30/2016	200	9.82
Commodore Partners	12/30/2016	100	9.82
Commodore Partners	12/30/2016	100	9.82
Commodore Partners	12/30/2016	200	9.82
Commodore Partners	12/30/2016	238	9.82
Commodore Partners	12/30/2016	100	9.82
Commodore Partners	12/30/2016	204	9.82
Commodore Partners	12/30/2016	12	9.82
Commodore Partners	12/30/2016	100	9.82
Commodore Partners	12/30/2016	1,846	9.82
Commodore Partners	12/30/2016	5,000	9.84
Carla Westcott	12/21/2016	2,000	9.80
Carla Westcott	12/21/2016	2,000	9.80
Carla Westcott	12/21/2016	1,800	9.80
Carla Westcott	12/21/2016	1,000	9.79
Carla Westcott	12/21/2016	200	9.80
Carla Westcott	12/27/2016	(500)	10.57
Cuita 11 05000tt	12/2/12010	(300)	10.57

# Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

### Item 7. Material to be Filed as Exhibits

Exhibit A	Joint Filing Agreement, dated June 8, 2015, by and among Carl H. Westcott, Commodore Partners, Ltd., G.K. Westcott LP, Carl Westcott, LLC, Jimmy Elizabeth Westcott, Chart H. Westcott, Court H. Westcott, and Carla Westcott (incorporated by reference to Exhibit A to the Schedule 13D filed with the Securities and Exchange Commission on June 11, 2015).
Exhibit B	Form of Authorization of Agent (incorporated by reference to Exhibit B to the Schedule 13D filed with the Securities and Exchange Commission on June 11, 2015).
Exhibit C	Power of Attorney, dated June 8, 2015 (incorporated by reference to Exhibit C to the Schedule 13D filed with the Securities and Exchange Commission on June 11, 2015).

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: January 3, 2017

Reporting Persons:

#### CARL H. WESTCOTT

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact

#### COMMODORE PARTNERS, LTD.

By: Carl Westcott, LLC, its general partner

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact

## G.K. WESTCOTT LP

By: Carl Westcott, LLC, its general partner

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact

### CARL WESTCOTT, LLC

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact

### COURT H. WESTCOTT

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact

#### **CARLA WESTCOTT**

/s/ Michael I. Smartt Michael I. Smartt Attorney-in-Fact