Edgar Filing: Rockwood Holdings, Inc. - Form 4

| Rockwood H | oldings, Inc. | | | | | | | | | | | |
|---|-----------------------------------|----------------|--|-------------------------------|-------------|---------------|------------|--|--|-------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| June 13, 2011 | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | r | PPROVAL | | | |
| | UNITEL | SIAIL | | hington, | | | NGE | | OMB Number: | 3235-0287 | | |
| Check this if no long | or | | | | | | | | Expires: | January 31, | | |
| subject to | | MENT O | F CHAN | | | | LOW | NERSHIP OF | Estimated | 2005 average | | |
| | Section 16. SECURITIES | | | | | | | | burden hou | urs per | | |
| Form 4 or Form 5 | | | Castian 10 | (a) of the | Const | ing Fr | | A -+ -f 1024 | response | . 0.5 | | |
| obligation | · · · · · · | | | · · / | | | | ge Act of 1934, f 1935 or Sectio | n | | | |
| may conti | nue. | |) of the Inv | • | • | - · | | | 11 | | | |
| See Instru 1(b). | ction | 20(11) | , or the m | vestment | compun | <i>y</i> 1100 | . 01 17 | 10 | | | | |
| | | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> CARROLL BRIAN F | | | 2. Issuer Name and Ticker or Trading | | | | σ | 5. Relationship of Reporting Person(s) to | | | | |
| | | | Symbol | č | | | | | Issuer | | | |
| | | | Rockwo | Rockwood Holdings, Inc. [ROC] | | | | | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of | Earliest Tra | insaction | | | (Clied | | | | |
| | | | (Month/Da | (Month/Day/Year) | | | | | _X_ Director 10% Owner | | | |
| | | | 06/10/20 | 06/10/2011 | | | | | Officer (give title Other (specify below) below) | | | |
| | & CO., L.P., 9 \ ET - 41ST FLO | | | | | | | | | | | |
| J/IN SIKE | | JOK | | | | | | | | | | |
| (Street) | | | 4. If Amendment, Date Original | | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| Fi | | | | th/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| NEW YORK, NY 10019 | | | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed of | f, or Beneficia | lly Owned | | |
| 1.Title of | 2. Transaction D | ate 2A. Deemed | | 3. 4. Securities | | | | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Yea | r) Executi | Execution Date, if | | onAcquired | | | | Form: Direct | Indirect | | |
| (Instr. 3) any (Month | | | CodeDisposed of (D)/Day/Year)(Instr. 8)(Instr. 3, 4 and 5) | | | | | | (D) or Indirect (I) | Beneficial Ownership | | |
| | (Instr. 8) (Instr. 8, 4 and 5) | | | | 3) | Following | (Instr. 4) | | | | | |
| | | | | | | (A) | | Reported | | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | | |
| Common | | | | Code V | Amount | (D) | Price | (| | | | |
| Common Stock, par | | | | | | | \$0 | | | | | |
| value \$0.01 | 06/10/2011 | | | А | 423 | А | (1) | 9,889 | D | | | |
| per share | | | | | | | _ | | | | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. 6. Date Exercisable onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying tities (. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|----------|-----------|---------|-------|--|--|
| | | Director | 10% Owner | Officer | Other | | |
| CARROLL BRIAN F C/O KOHLBERG KRAVIS ROBERTS & 9 WEST 57TH STREET - 41ST FLOOR NEW YORK, NY 10019 | CO., L.P. | X | | | | | |
| Signatures | | | | | | | |
| /s/ Richard J. Kreider, attorney in fact | 06/13/2011 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a quarterly grant of unrestricted shares of the Company's common stock as part of the Company's non-management director compensation for the second quarter of 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.