

MICROSOFT CORP

Form 4

February 07, 2003

FORM 4 ___ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses)	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549	OMB APPROVAL
	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

1. Name and Address of Reporting Person * <table> <tr> <td>Gates III</td> <td>William</td> <td>H.</td> </tr> <tr> <td>(Last)</td> <td>(First)</td> <td>(Middle)</td> </tr> </table> <table> <tr> <td colspan="3">One Microsoft Way</td> </tr> <tr> <td colspan="3">(Street)</td> </tr> </table> <table> <tr> <td>Redmond</td> <td>WA</td> <td>98052-6399</td> </tr> <tr> <td>(City)</td> <td>(State)</td> <td>(Zip)</td> </tr> </table>	Gates III	William	H.	(Last)	(First)	(Middle)	One Microsoft Way			(Street)			Redmond	WA	98052-6399	(City)	(State)	(Zip)	2. Issuer Name and Ticker or Trading Symbol MICROSOFT CORPORATION (MSFT)	4. Statement for (Month/Day/Year) 2/5/2003	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☒ 10% Owner ☒ Officer (give title below) ___ Other (specify below) Chairman of the Board; Chief Software Architect
Gates III	William	H.																			
(Last)	(First)	(Middle)																			
One Microsoft Way																					
(Street)																					
Redmond	WA	98052-6399																			
(City)	(State)	(Zip)																			
3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		5. If Amendment, Date of Original (Month/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ___ Form filed by More than One Reporting Person																		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
---------------------------------	--------------------------------------	--	--------------------------------	---	---	--	---

Edgar Filing: MICROSOFT CORP - Form 4

Common Stock	2/5/2003		S		25,000	D	46.87			
Common Stock	2/5/2003		S Code	V	86 Amount	D (A) or (D)	46.89 Price			
Common Stock	2/5/2003		S		6,428	D	46.93			
Common Stock	2/5/2003		S		20,000	D	46.95			
Common Stock	2/5/2003		S		25,000	D	46.97			
Common Stock	2/5/2003		S		1,872	D	46.99			
Common Stock	2/5/2003		S		21,700	D	47.00			
Common Stock	2/5/2003		S		64,914	D	47.01			
Common Stock	2/5/2003		S		40,000	D	47.02			
Common Stock	2/5/2003		S		13,500	D	47.04			
Common Stock	2/5/2003		S		22,700	D	47.05			
Common Stock	2/5/2003		S		33,800	D	47.07			
Common Stock	2/5/2003		S		38,000	D	47.08			
Common Stock	2/5/2003		S		13,132	D	47.10			
Common Stock	2/5/2003		S		10,000	D	47.11			
Common Stock	2/5/2003		S		10,000	D	47.13			
Common Stock	2/5/2003		S		32,961	D	47.14			
Common Stock	2/5/2003		S		33,400	D	47.15			
Common Stock	2/5/2003		S		43,887	D	47.18			
Common Stock	2/5/2003		S		8,913	D	47.21			
Common Stock	2/5/2003		S		13,587	D	47.25			
Common Stock	2/5/2003		S		21,120	D	47.26			
Common Stock	2/5/2003		S		25,000	D	47.59			
Common Stock	2/5/2003		S		22,600	D	47.60			
Common Stock	2/5/2003		S		14,989	D	47.62			
Common Stock	2/5/2003		S		14,857	D	47.64			
Common Stock	2/5/2003		S		2,700	D	47.65			
Common Stock	2/5/2003		S		15,300	D	47.66			
	2/5/2003		S		7,119	D	47.68			

Edgar Filing: MICROSOFT CORP - Form 4

Common Stock										
Common Stock	2/5/2003		S		19,543	D	47.69			
Common Stock	2/5/2003		S		40,000	D	47.73			
Common Stock	2/5/2003		S		15,000	D	47.74			
Common Stock	2/5/2003		S		17,955	D	47.85			
Common Stock	2/5/2003		S		7,400	D	47.90			
Common Stock	2/5/2003		S		10,500	D	47.91			
Common Stock	2/5/2003		S		2,400	D	47.92			
Common Stock	2/5/2003		S		41,925	D	48.00			
Common Stock	2/5/2003		S		49,211	D	48.02			
Common Stock	2/5/2003		S		20,764	D	48.03			
Common Stock	2/5/2003		S		87,637	D	48.04			
Common Stock	2/5/2003		S		5,000	D	48.05			
Common Stock	2/5/2003		S		15,000	D	48.06			
Common Stock	2/5/2003		S		10,000	D	48.07			
Common Stock	2/5/2003		S		50,000	D	48.08			
Common Stock	2/5/2003		S		100	D	48.10			
Common Stock	2/5/2003		S		5,000	D	48.15	609,749,300	D	
Common Stock								368	I	Through an entity owned by the reporting person
Common Stock								214,260*	I	Held by spouse

* The reporting officer disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/ Year)	3A. Deemed Execution Date, if any (Month/Day/ Year)	4. Transaction Code (Instr. 8)				5. Number of Derivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

/s/ Michael Larson

2/6/03

** Signature of Reporting Person

Date

Edgar Filing: MICROSOFT CORP - Form 4

Attorney-in-fact.

Duly authorized under Special Power of Attorney appointing Michael Larson attorney-in-fact, dated March 14, 2001, by and on behalf of William H. Gates III, filed as Exhibit B to Cascade Investment's Amendment No. 1 to Schedule 13D with respect to Pan American Silver Corp. on March 19, 2001, SEC File No. 005-52919, and incorporated by reference herein.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

<http://www.sec.gov/divisions/corpfin/forms/form4.htm>

Last update: 09/05/2002