Gault Robert J Form 4 May 16, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

OMB APPROVAL

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January 31,

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Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

05/15/2018

05/15/2018

| (Print or Type I | Responses) | | | | | | | | |
|--------------------------------------|---|--|--|---|-----|--|---|-----------------------------|--|
| 1. Name and A Gault Rober | Symbol EXTRI | 2. Issuer Name and Ticker or Trading Symbol EXTREME NETWORKS INC [EXTR] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) 6480 VIA D | , , , | (Month/l | 3. Date of Earliest Transaction (Month/Day/Year) 05/15/2018 | | | DirectorX Officer (given below) EVP Wo | | 6 Owner er (specify ervices | |
| SAN JOSE, | (Street) CA 95119 | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |
| (City) | | (Zip) Tob | Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. Transactic Code (Instr. 8) | 4. Securities Acquired tion(A) or Disposed of (D) | | • | 6. Ownership 7. Nature Form: Direct Indirect (D) or Benefici Indirect (I) Ownersh (Instr. 4) | | |
| Common Stock | 05/15/2018 | 05/15/2018 | M | Amount 6,670 | (D) | Price \$ 0 | 148,071 | D | |
| Common Stock | 05/15/2018 | 05/15/2018 | M | 6,670 | A | \$0 | 154,741 | D | |
| Common Stock | 05/15/2018 | 05/15/2018 | M | 8,337 | A | \$0 | 163,078 | D | |
| Common Stock | 05/15/2018 | 05/15/2018 | F | 2,092 (1) | D | \$ 8.57 | 160,986 | D | |

2,092

(2)

D

8.57

158,894

D

F

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Common Stock 05/15/2018 05/15/2018 F $\frac{2,883}{(2)}$ D $\frac{\$}{8.57}$ 156,011 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou Underlying Securi (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|-------|--|-----------------|--|--------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo or Nun of Shar |
| Performance Shares | \$ 0 | 05/15/2018 | 05/15/2018 | M | | 6,670 | 11/15/2017(3) | 08/15/2019 | Common Stock | 6,6 |
| RSU Award | \$ 0 | 05/15/2018 | 05/15/2018 | M | | 6,670 | 11/15/2017 <u>(5)</u> | 08/15/2019 | Common Stock | 6,6 |
| RSU Award | \$ 0 | 05/15/2018 | 05/15/2018 | M | | 8,337 | 02/15/2018(6) | 02/15/2020 | Common Stock | 8,3 |

Reporting Owners

| Reporting Owner Name / Address | Keiauonsinps | | | | | | |
|--------------------------------|--------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |

Gault Robert J 6480 VIA DEL ORO SAN JOSE, CA 95119

EVP Worldwide Sales Services

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Signatures

Quentin Wright, Power of Attorney 05/16/2018

**Signature of Reporting Person Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld from the Performance Based RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (2) Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (3) Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Earned shares vest as to 1/3 on the one year anniversary date and 1/12 each quarter thereafter.
- (4) This is not an applicable reportable field for this type of grant.
- (5) This Time Based RSU award vests as to 1/3 on August 15, 2017 and 1/12 each quarter thereafter subject to continued service at the company through the vesting dates.
- (6) This Time Based RSU award vests as to 1/3 on February 15, 2018 and 1/12 each quarter thereafter subject to continued service at the company through the vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.