Khanna Raj Form 4 November 13, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

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January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

11/09/2017

(Print or Type Responses)

1 Name and Address of Departing De

See Instruction

I. Name and Ad Khanna Raj	Symbol EXTRE	2. Issuer Name and Ticker or Trading Symbol EXTREME NETWORKS INC [EXTR]			S. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (M		3. Date of Earliest Transaction (Month/Day/Year)			e titleOth	Owner er (specify	
6480 VIA DEL ORO		`	11/09/2017			below)		
	(Street)		endment, Da nth/Day/Year	Č	6. Individual or J Applicable Line) _X_ Form filed by	•		
SAN JOSE,	CA 95119					More than One Re		
(City)	(State)	Zip) Tab	le I - Non-D	Perivative Securities Acq	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Code V

M

or

(D)

Α

Amount

26,258

Price

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

11/09/2017

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

D

109,523

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Khanna Raj - Form 4

1. Title Deriva Securi (Instr.	tive Conversion ty or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
RSU Awar	rd \$ 0	11/09/2017	11/09/2017 <u>(1)</u>	M		26,258	11/18/2017	11/18/2017	Common Stock	2
RSU Awar	rd \$ 0	11/09/2017	11/09/2017	A	14,873		11/09/2018(3)	11/09/2018	Common Stock	1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
Khanna Raj						
6480 VIA DEL ORO	X					
SAN JOSE, CA 95119						

# **Signatures**

Quentin Wright, Power of Attorney

\*\*Signature of Reporting Person D

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This restricted stock unit vested on November 9th, 2017, the same date our Annual Meeting was held.
- (2) This is not an applicable reportable field for this type of grant.
- (3) Grant of restricted stock units pursuant to the Extreme Networks, Inc. 2013 Equity Incentive Plan. Vests in full one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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