#### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

PEOPLES FINANCIAL SERVICES CORP.

Form 4 March 24, 2014

FORM 4

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

Estimated average burden hours per

response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

Washington, D.C. 20549

30(h) of the Investment Company Act of 1940

Symbol

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LOCHEN RICHARD S JR

			PEOPLES FINANCIAL SERVICES CORP. [PFIS]					(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest Transaction				_X_ Director 10% Owner Officer (give title Other (specify				
	SECURITY BAN ., 150 N WASH		03/21/2	•				below)	below)			
	(Street)		endment, Da nth/Day/Year	_	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
SCRANTO						Form filed by More than One Reporting Person						
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	4 and (A) or	ed of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/21/2014			Code V P	Amount 240	(D)	Price \$ 41.5	7,973.586	D			
Common Stock								1,076.466	I	Employee Stock Ownership Plan		
Common Stock								228.614	I	Children		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	7. Title at Amount of Underlyin Securities (Instr. 3 a	of ng s	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LOCHEN RICHARD S JR PEOPLES SECURITY BANK & TRUST CO. 150 N WASHINGTON AVE SCRANTON, PA 18503



## **Signatures**

Carla Olenchak, Attorney in Fact for Richard S Lochen Jr.

03/24/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2