

Edgar Filing: ACOSTA SAM - Form 4

ACOSTA SAM
 Form 4
 May 08, 2002
 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| | | | | | | |
|--|----------------------|----------|--|--|--|--|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | 6. Relationship (Check all that apply) | |
| Acosta, | Sam | | THERMOGENESIS CORP. ("KOOL") | | <input checked="" type="checkbox"/> Direct Office (give name and title) <input type="checkbox"/> Vice President | |
| (Last) | (First) | (Middle) | 3. IRS or Social Security Number of Reporting Person | | 4. Statement for (Month/Year) | |
| c/o THERMOGENESIS CORP. | 3146 Gold Camp Drive | | -- | | 04/2002 | |
| (Street) | | | 5. If Amendment, Date of Original (Month/Year) | | 7. Individual (Check Applicable) Form filed | |
| Rancho Cordova, | CA | 95670 | | | <input type="checkbox"/> Form filed Report | |
| (City) | (State) | (Zip) | | | | |

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

| 1. Title of Security (Inst. 3) | 2. Transaction Date (Mo/Day/Yr) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount (A) or (D) Price | 6. Amount of Securities Beneficially Owned at End of Month (Inst. 3 and 4) |
|--------------------------------|---------------------------------|--------------------------------|---|----------------------------|--|
| | | Code / V | Amount / (A) or (D) / Price | | |
| Common Stock | 04/03/02 | M | 10,000 A \$1.125 | | 16,110 |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.
 *If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Con- version or exercise Price of of Deriv- ative Secu- rity | 3. Trans- action Date (Mo. / Day / Year) | 4. Trans- action Code (Inst.8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Inst.3,4,5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3,4) | 8. Price of Deriv- ative Secu- rity (Inst.4) |
|--|---|--|--|---|--|--|---|
| Options to Purchase Common Stock | \$1.125 | 04/03/02 | M | 10,000 | 1/1/00 7/29/02 | Common Stock | - |
| Options to Purchase Common Stock | | | | | | Common Stock | |
| Warrants to Purchase Common Stock | | | | | | Common Stock | |

Explanation of Responses:

(1) Disclaims beneficial ownership of these shares owned by his spouse prior to marriage.

/S/ SAM ACOSTA

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Sam Acosta

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.