Edgar Filing: BARNES THOMAS O - Form 4

BARNES T Form 4 February 24	14, 2012											
FOR	ЛЛ									OMB AF	PROVAL	
	UNITED	STATES S							OMMISSION	OMB Number:	3235-0287	
Subject to Section 16. Form 4 or Form 5 Filed pursuant to S obligations Section 17(a) of the F			Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange Public Utility Holding Company Act of						e Act of 1934,	Expires: Estimated a burden hour response		
may co See Inst 1(b).	truction			•		•	-	Act of 194				
(Print or Type	(Kesponses)											
BARNES THOMAS O S			2. Issuer Name and Ticker or Trading Symbol					ding	5. Relationship of Reporting Person(s) to Issuer			
						UP INC			(Check	all applicable)	
(Last) BARNES STREET	(First) GROUP INC., 12	(1	. Date o Month/ 2/23/2	Day/Y		Fransactio	n		X Director Officer (give ti below)		Owner r (specify	
	(Street)	4	. If Am	endme	nt, I	Date Origin	nal		6. Individual or Join	nt/Group Filin	g(Check	
BRISTOL	, CT 06010	F	iled(Mo	onth/Da	y/Ye	ar)			Applicable Line) _X_Form filed by Or Form filed by Mo Person			
(City)	(State)	(Zip)	Tal	ole I - I	Non-	-Derivativ	e Sec	urities Aca	uired, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/Y	te, if	3.	actio		ties A sed of	cquired (A) (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	02/23/2012			S		5,000	D	\$ 27.7785 (1)	399,632.3284 (<u>3)</u>	D		
Common Stock	02/23/2012			G	V	1,000	D	\$ 0	398,632.3284 (3)	D		
Common Stock									31,653.473	Ι	By 401(k) Plan	
Common Stock									3,999.9223 <u>(2)</u>	Ι	By my wife	
Common Stock									39,356 <u>(2)</u>	Ι	Trust #42-01-100-854656	

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Common Stock	416,768 <u>(2)</u>	Ι	Trust #42-01-100-854655
Common Stock	76,470 <u>(2)</u>	I	Trust #42-01-100-855071
Common Stock	161,652 <u>(2)</u>	I	Trust #42-01-100-855072
Common Stock	486,115 <u>(2)</u>	Ι	Trust #42-01-100-855071
Common Stock	413,481 <u>(2)</u>	Ι	Trust #42-01-100-854655
Common Stock	84,432 <u>(2)</u>	Ι	Trust #42-01-100-855071
Common Stock	190,396 <u>(2)</u>	Ι	Trust #CF-2078
Common Stock	142,472 <u>(2)</u>	Ι	Trust #CF2363

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)	umber Expiration Date (Month/Day/Ye erivative ecurities cquired A) or isposed f (D)		nd 7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
					(Instr. 3, 4, and 5)						
					, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of		

				Exercisable	Date	
Code	v	(A)	(D)			

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Shares

BARNES THOMAS O BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010

Signatures

Monique B. Marchetti, pursuant to Power of Attorney

02/24/2012

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.76 to \$27.84, inclusive. The reporting person undertakes to provide to Barnes Group Inc., any security holder of Barnes Group Inc., or the

- (1) to 527.64, inclusive. The reporting person undertakes to provide to barnes Group Inc., any security holder of barnes Group Inc., of the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.
- (2) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.
- (3) Includes a balance of 1810 Restricted Stock Units granted 2/8/11 and 3046 granted 2/8/12 that are subject to forfeiture if certain events occur.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.