

GATES SIGNE S
Form 4
September 10, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GATES SIGNE S

(Last) (First) (Middle)

BARNES GROUP INC., 123 MAIN STREET

(Street)

BRISTOL, CT 06011-0489

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BARNES GROUP INC [B]

3. Date of Earliest Transaction (Month/Day/Year)
09/08/2009

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Sr. VP, Gen. Counsel & Sec.

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	09/08/2009		M		35,476 (1) \$ 13.3875	0	D
Common Stock	09/08/2009		M		3,168 (1) \$ 13.2975	0	D
Common Stock	09/08/2009		M		5,384 (1) \$ 13.2975	0	D
Common Stock	09/08/2009		M		756 (1) \$ 13.2975	0	D
Common Stock	09/08/2009		M		1,998 (1) \$ 13.2975	0	D

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Common Stock	09/08/2009	M	<u>2,378</u> (1)	A	\$ 13.2975	0	D
Common Stock	09/08/2009	M	<u>2,259</u> (1)	A	\$ 13.25	0	D
Common Stock	09/08/2009	S	<u>51,419</u> (1)	D	\$ 15.3485	0	D
Common Stock	09/09/2009	M	<u>6,235</u> (1)	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	<u>16,770</u> (1)	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	344 ⁽¹⁾	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	<u>2,860</u> (1)	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	<u>1,262</u> (1)	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	<u>24,458</u> (1)	A	\$ 13.25	0	D
Common Stock	09/09/2009	M	<u>20,000</u> (1)	A	\$ 12.615	0	D
Common Stock	09/09/2009	M	<u>13,332</u> (1)	A	\$ 9.56	0	D
Common Stock	09/09/2009	S	<u>85,261</u> (1)	D	\$ 15.384	<u>108,783.539</u> (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

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Employee Stk Option-Right to Buy	\$ 13.3875	09/08/2009	M	35,476	<u>(3)</u>	02/06/2011	Common Stock	35,476
Employee Stk Option-Right to Buy	\$ 13.2975	09/08/2009	M	3,168	<u>(5)</u>	02/06/2011	Common Stock	3,168
Employee Stk Option-Right to Buy	\$ 13.2975	09/08/2009	M	5,384	<u>(5)</u>	02/13/2013	Common Stock	5,384
Employee Stk Option-Right to Buy	\$ 13.2975	09/08/2009	M	756	<u>(5)</u>	02/10/2010	Common Stock	756
Employee Stk Option-Right to Buy	\$ 13.2975	09/08/2009	M	1,998	<u>(5)</u>	02/10/2010	Common Stock	1,998
Employee Stk Option-Right to Buy	\$ 13.2975	09/08/2009	M	2,378	<u>(5)</u>	02/06/2011	Common Stock	2,378
Employee Stk Option-Right to Buy	\$ 13.25	09/08/2009	M	2,259	<u>(6)</u>	02/10/2010	Common Stock	2,259
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	6,235	<u>(6)</u>	02/10/2010	Common Stock	6,235
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	16,770	<u>(6)</u>	02/10/2010	Common Stock	16,770
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	344	<u>(6)</u>	02/06/2011	Common Stock	344
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	2,860	<u>(6)</u>	02/10/2010	Common Stock	2,860
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	1,262	<u>(6)</u>	02/10/2010	Common Stock	1,262
Employee Stk Option-Right to Buy	\$ 13.25	09/09/2009	M	24,458	<u>(6)</u>	02/05/2012	Common Stock	24,458
Employee Stk Option-Right to Buy	\$ 12.615	09/09/2009	M	20,000	<u>(7)</u>	02/16/2015	Common Stock	20,000
Employee Stk Option-Right	\$ 9.56	09/09/2009	M	13,332	<u>(8)</u>	02/13/2013	Common Stock	13,332

to Buy

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GATES SIGNE S BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06011-0489			Sr. VP, Gen. Counsel & Sec.	

Signatures

Signe S. Gates 09/10/2009

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction is made in accordance with a 10b5-1 Plan.
Includes 3332 restricted stock units from 2/15/06 grant, 3596 from 2/14/07 grant, 4500 from 2/13/08 grant and 9700 from 2/10/09 grant,
- (2) and balances of 1800 performance share awards from 2/14/07 grant and 3000 from 2/13/08 grant, that are subject for forfeiture if certain events occur.
- (3) The options vested 100% immediately on 2/26/2004.
- (4) 1 for 1.
- (5) The options vested 100% immediately on 8/26/2004.
- (6) The options vested 100% immediately on 2/28/2005.
- (7) The options fully vested on 8/16/2008.
- (8) The options fully vested on 2/13/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.